

**SUMMARY OF EXPECTED TESTIMONY OF  
ERNEST PRENTICE, PH.D.**

Ernest Prentice, Ph.D. is Associate Vice Chancellor for Academic Affairs and Regulatory Compliance at the University of Nebraska. Dr. Prentice was the Chairman of the Institutional Review Board at the University of Nebraska from 1981 to 2006. He has been Executive Chairman since 2006. He operates the Office of Regulatory Affairs. Dr. Prentice's educational background and work experiences are described in his curriculum vitae, a copy of which is attached. The testimony and opinions of Dr. Prentice will be based upon his educational background, work experiences, and his review of records and depositions relating to this case, including but not limited to documents from the Institutional Review Board. Dr. Prentice reserves the right to supplement his opinions if additional information becomes available.

Dr. Prentice will testify that the University of Minnesota's Institutional Review Board (IRB) acted reasonably and appropriately with respect to the following study at the University of Minnesota site: "Efficacy and Tolerability of Olanzapine, Quetiapine and Risperidone in the Treatment of First Episode Psychosis: A Randomized Double-Blind 52-Week Comparison – The Café Study." Dr. Prentice will testify that he disagrees with the opinions of plaintiff's experts, including the May 15, 2007 affidavits of Harrison G. Pope, Jr., M.D., M.P.H. and James I. Hudson, M.D., that the IRB violated standards of care for protection of human subjects and oversight of research activity conducted with human subjects. Dr. Prentice will testify that the IRB complied with the requirements of the federal government, with the usual and customary practices of IRBs, and followed standards of care of IRB's. He will testify that the death by suicide of Mr. Dan Markingson was not caused by the University of Minnesota IRB.

By way of background, Dr. Prentice will explain that AstraZeneca Pharmaceuticals, L.P. ("AstraZeneca") was the sponsor of the Café Study. The principal investigator at the University of Minnesota site was Dr. Stephen Olson, Associate Professor of Psychiatry, and the co-investigators were Dr. Charles Schulz, who is Director of the Department of Psychiatry at the University of Minnesota, and John P. Vuchetich, M.D., Ph.D. AstraZeneca approved the multi-site Café Study on October 12, 2001.

Dr. Prentice will testify about the written request by Dr. Olson for approval of the Café Study at the University of Minnesota site, which request was submitted by Dr. Olson to the IRB on February 11, 2002 ("Request For The Approval For The Use Of Human Subjects in Research Health and Biological Sciences.")

With respect to risks, the document submitted by Dr. Olson advised the IRB that each potential participant would be evaluated regarding his or her competency to give informed consent. Dr. Prentice will explain that it was reasonable for the IRB to conclude that the competency questionnaire used by Dr. Olson to evaluate potential study participants would serve as a useful tool in the evaluation of the competency of potential participants. Dr. Prentice will further testify that the Request form specifically asks: "what questions will be asked to assess the Subjects' understanding," and that Dr. Olson stated "the informed consent process for this study will include a formal procedure for assessing competency. The evaluation will be completed immediately prior to signature of informed consent. Persons who demonstrate adequate decisional capacity to participate in this research study will be allowed to sign the consent form.

Please refer to the competency evaluation located in the application's supporting documentation."

Dr. Prentice will also testify that Dr. Olson submitted additional information to the IRB about the process by which informed consent would be obtained from potential participants in the Café Study, including copies of consent forms such as the proposed Adult Consent Form. Dr. Prentice will note that Dr. Olson disclosed what would be said to subjects to introduce the research and confirmed that written consent would be obtained prior to all study procedures. He also disclosed to the IRB that all study participants would have an advocate, who would typically be a case manager, nurse, or family member or friend. Dr. Prentice will explain that in the case of Dan Markingson it later turned out that David Pettit, a Dakota County Case Manager, advocated for Mr. Dan Markingson. He will note that Mr. Pettit has testified that he approved of Mr. Markingson's participation in the Café Study. In addition, Dr. Olson submitted to the IRB the Café Study proposal that was approved by Astrazeneca on October 12, 2001, along with Appendices.

Dr. Prentice will explain that in the Request for Approval Dr. Olson also identified benefits of the study, including that subjects would receive psychiatric evaluations, physical exams, bloodwork, electrocardiograms, and study medications at no cost. They would receive \$20 per visit as compensation for time and inconveniences associated with research participation, along with parking/travel expenses.

Dr. Prentice will explain that the process used by the IRB to review Dr. Olson's proposal was reasonable and appropriate. Dr. Prentice will explain that it is reasonable and appropriate for an IRB to designate a member to serve as a primary reviewer, and to assign that person the responsibility of presenting the proposed study to the committee responsible for determining whether or not to grant approval for a study. Dr. Prentice may testify about notes made by the primary reviewer about the Café Study proposal on a form entitled Review of Medical Science Request for Approval, including notes about risks and benefits of the study, groups included in the study, and consent issues. Dr. Prentice will testify that these are appropriate topics for consideration by the IRB.

Dr. Prentice will explain that the IRB's Health and Biological Services panel of the Human Subjects Committee reviewed the Café Study on February 27, 2002 and approved the Café Study, subject to certain stipulations. The IRB advised Dr. Olson via letter dated March 6, 2002 that certain stipulations must be resolved and approved by the IRB prior to the IRB giving final approval to the Café Study. The IRB advised Dr. Olson by letter dated April 22, 2002 that his response to the IRB's stipulations had been received. The IRB granted a one-year approval of the CAFÉ Study at the University of Minnesota, including the written consent form to be used, and noted the approval date of the Café Study as February 27, 2002. On January 29, 2003, January 28, 2004, and January 26, 2005 the IRB approved the CAFÉ Study for additional one-year periods.

Dr. Prentice will testify that the IRB reasonably and appropriately in its review and approval of the Café Study, including review and approval of the Adult Consent Form that would be utilized for the Café Study and was in fact, used in the case of Dan Markingson. He will testify about the

various components of the form, including its statement that the participant's healthcare provider may be an investigator for the project, and that in the latter role he or she "is interested in both your clinical welfare and in the conduct of this study." In addition, the form advises the participant that he or she may ask for a second opinion before or during the study, and that "you are not under any obligation to participate in a research project offered by your doctor." Dr. Prentice will testify that the foregoing language provided participants with sufficient information about the principal investigator's role and the participant's right to seek decline to participate and/or seek a second opinion.

Dr. Prentice will also testify about the Consent Form's discussion of risks, including that the participant's symptoms may not respond to the study medication, one's condition may worsen, and a discussion of side effects and risks. The Form also addresses alternative treatments, stating "you do not have to participate in this research study in order to receive treatment for your condition. All of the medications we will study are available to you through your study doctor. Your study doctor will discuss these alternatives with you before you agree to participate in this study." Another section in the Form states that participation in the study is voluntary, and that the participant can "refuse to participate or withdraw from this study at any time, without penalty or loss of benefits to which you are otherwise entitled." The right to withdraw at any time is also included in a subsequent section. Dr. Prentice will testify that it was reasonable and appropriate for the IRB to conclude the foregoing language was appropriate and sufficient regarding risks, side effects, and alternatives. Dr. Prentice will testify that it was reasonable for the IRB to conclude that the consent document adequately informed prospective study participants, including Mr. Markingson, that they could decline to participate in the study and could elect to be treated by a different physician and/or with different medications.

Dr. Prentice will point out that the IRB received notice from Dr. Olson on May 12, 2004 of a Serious Adverse Event involving subject 00100013 (Mr. Dan Markingson). Dr. Prentice will testify that the IRB acted reasonably and appropriately by requesting information from Dr. Olson regarding the event. He will explain that Dr. Olson provided additional information to the IRB by letter dated May 17, 2004. Dr. Prentice will testify that Richard W. Bianco, the Institutional Official for Human Subjects Protections, acted reasonably and appropriately by reporting the unanticipated serious adverse event to the Office for Human Research Protections via an Official Notification of Serious Adverse Event Involving a Research Subject on or about May 13, 2004.

Dr. Prentice will testify that the IRB acted reasonably and appropriately monitored the Café Study and utilized a continuing review form. He will discuss the components of that form, including the use of the form on more than one occasion during the Café Study. For example, Dr. Prentice will testify about the Continuing Review of IRB-Approved Medical Research that Dr. Olson signed on December 17, 2004. The form requests information relating to the study, including any unanticipated problems, subject withdraws, complaints about research, and serious and unexpected adverse events, all of which are appropriate topics of continuing review.

Dr. Prentice will also testify that there is no evidence that Mr. Markingson, the participant, complained about the Café Study to the IRB. Moreover, no one complained to the IRB about Mr. Markingson's involvement in the study while he was a participant in it. He will point out

that there is nothing in the federal regulations requiring complaints to be forwarded to the IRB unless there is an unanticipated problem regarding risk.

Dr. Prentice will testify about the meaning and scope of federal regulations applicable to the IRB, as delineated by the Office of Human Research Protections (OHRP) under 45 C.F.R. § 46 (Protection of Human Subjects), Subpart A (Basic HHS Policy for Protection of Human Subjects), Sections 46.101-46.124). Dr. Prentice will describe the applicable federal regulations and testify that the University of Minnesota's IRB complied with those regulations with respect to the Café Study. Dr. Prentice will testify that the IRB's approval of the informed consent form and competency evaluation document was consistent with the foregoing regulations.

Dr. Prentice will explain the basic elements of informed consent that must be provided to each subject pursuant to section 45 C.F.R. 46.116(a)(1-8). He will testify that the form approved by the IRB included the information required under the sections of the foregoing regulation. In addition, Dr. Prentice will testify that the IRB appropriately required documentation of the informed consent, as required by section 46.109(c) and section 46.117.

Dr. Prentice will testify that there is no legal requirement for an evaluation of potential study participants by a third party to determine competency or to obtain informed consent. Dr. Prentice will explain that the Declaration of Helsinki has not been universally accepted in the United States and is not mentioned in the federal regulations and does not create or impose legal duties on an IRB in the United States, including the University of Minnesota IRB. Dr. Prentice will explain that the statements contained in the Nuremberg Code, the Declaration of Helsinki, and the Belmont Report do not create legal duties for an IRB. Dr. Prentice will disagree with any testimony by plaintiffs' experts' suggesting that the IRB was obligated to require that informed consent be obtained by an independent examiner from hospitalized potential subjects receiving treatment by Dr. Olson for mental health disorders.

Dr. Prentice will explain the approval criteria set forth under 45 C.F.R. 46.111, including the requirements that must be satisfied for the IRB to approve research, and will testify that the University of Minnesota IRB met these criteria before giving final approval for the CAFÉ Study. Dr. Prentice will testify that the IRB appropriately determined that risks to subjects in the CAFÉ Study were minimized by using procedures consistent with sound research design and when appropriate, by using procedures already being performed on the subjects for diagnostic or treatment purposes. He will also testify that it was reasonable for the IRB to conclude that risks to subjects in the CAFÉ study were reasonable in relation to anticipated benefits to subjects and the importance of the knowledge that may reasonably be expected to result.

Dr. Prentice will also testify that it was reasonable for the IRB to conclude that selection of subjects was equitable. He will explain that it was reasonable for the IRB to conclude that the assessment of competency questionnaire and use of advocates would appropriately address any issues relevant to a patient population that was to include vulnerable patients. He will state that the foregoing safeguards served to protect the rights and welfare of subjects who were likely to be vulnerable to coercion or undue influence, including mentally disabled persons, as set forth under 46.111(b). Dr. Prentice will testify that he disagrees with any opinion that the IRB failed to provide sufficient safeguards for potential participants in the Café Study. Dr. Prentice will

further testify the IRB appropriately determined that informed consent would be sought from each prospective subject or the subject's legally authorized representative, in accordance with § 46.116. Dr. Prentice will also testify that the IRB satisfied the remaining criteria of this section.

Dr. Prentice will explain that the IRB has authority pursuant to 45 C.F.R. § 46.113 to suspend or terminate approval of research that is not being conducted in accordance with the IRB's requirements or that has been associated with unexpected serious harm to subjects. Dr. Prentice will testify that the IRB was not required to suspend or terminate its approval of the Cafe Study. The IRB did not receive any complaints from Mr. Markingson or anyone else regarding Mr. Markingson. If it had received such complaints, appropriate investigation could have been undertaken. Dr. Prentice will also testify that the IRB met its responsibility to protect human subjects in research and oversee the conduct of research on human subjects.

Dr. Prentice will testify that it was reasonable for the IRB to believe that Dr. Olson did not have a financial conflict of interest in the study. He will state that the financing of the study used an appropriate financial model that did not create any such conflict. Dr. Prentice will explain that when additional individuals enter a study, there are increased costs to conduct the study. He will testify that he disagrees with any opinion of plaintiffs' experts suggesting that Dr. Olson had a financial conflict of interest that discouraged Dr. Olson from seeking consultation with other physicians about the care of Mr. Markingson because of a concern that a different physician might recommend Mr. Markingson's removal from the study, or that Mr. Markingson might want to change physicians.

Dr. Prentice will testify that he disagrees with the opinion of plaintiffs' experts that the informed consent form violates that portion of 45 C.F.R. 46.116(a)(4), requiring "A disclosure of appropriate alternative procedures or courses of treatment, if any, that might be advantageous to the subject." Dr. Prentice will testify that the informed consent form approved by the IRB and utilized with respect to Mr. Markingson met the foregoing federal requirements.

Dr. Prentice will disagree with any plaintiffs' experts' opinion suggesting that Mr. Markingson was not fully informed, or that he would have either declined to enroll in the study or would have withdrawn from it.

Dr. Prentice will testify that the IRB complied with its duty regarding potential complaints about the CAFÉ study. He will testify that he disagrees with plaintiffs' experts' opinion that the IRB failed to have an appropriate mechanism for handling reports of complaints, or that it violated "federal requirements" in this regard.

Dr. Prentice will also testify that he disagrees with the opinions set forth in the disclosures of Keith A. Horton, M.D. dated October 12, 2007 and Paul Root Wolpe, Ph.D. dated October 11, 2007. Dr. Prentice disagrees with their characterizations of Dr. Olson and their opinions about the IRB.

*Ernest Prentice*

ERNEST PRENTICE, PH.D.

SUBSCRIBED and SWORN to before  
me this 27<sup>th</sup> day of November, 2007

*Tina W Renner*

Notary Public



Ernest D. Prentice, Ph.D.  
Associate Vice Chancellor for Academic Affairs and Regulatory Compliance

**Ernest D. Prentice, Ph.D.**

Associate Vice Chancellor for Academic Affairs  
and Regulatory Compliance  
Professor of Genetics, Cell Biology, and Anatomy  
Courtesy Professor, School of Public Health

**University of Nebraska Medical Center**  
987830 Nebraska Medical Center  
Omaha, NE 68198-7830

Phone 402-559-6045  
Fax 402-559-3300  
Email [edprenti@unmc.edu](mailto:edprenti@unmc.edu)

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**Education**

1967 University of South Florida B.A.  
1971 University of Iowa M.A.  
1976 University of Nebraska Ph.D.

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**Military Service**

1960-64 United States Air Force

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**Administrative Appointments – University of Nebraska Medical Center**

2000-Present Associate Vice Chancellor for Academic Affairs and Regulatory Compliance (1 FTE)  
1992-03 Associate Dean for Research (.80 FTE)  
1985- 92 Assistant Dean for Research (.80 FTE)  
1981-85 Director, Institutional Review Board (.70 FTE)

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**Academic Appointments**

*University of Nebraska Medical Center*

1991-Present Professor, Department of Genetics, Cell Biology, and Anatomy  
1991-Present Professor, Department of Preventive and Societal Medicine (Courtesy)  
1982-91 Associate Professor, Department of Anatomy  
1978-82 Assistant Professor, Department of Anatomy  
1975-78 Instructor, Department of Anatomy  
1973-75 Research Associate, Department of Anatomy  
1979 Appointed Fellow of the Graduate College  
1981 Tenure Granted

*University of Iowa*

1971-73 NIH Predoctoral Fellow  
1969-71 Teaching and Research Assistant

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

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***Public School System***

1967-69                      Physical Science Teacher  
                                    Plant City Senior High School, Plant City, Florida

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**Membership and Roles in Professional Societies**

1987-2006                  Member, Applied Research Ethics National Association (ARENA)  
1990-Present              Member, American Association for Laboratory Animal Science (AALAS)  
1991-95                      Council, Applied Research Ethics National Association (ARENA)  
1993-98                      Board of Trustees, Scientists Center for Animal Welfare (SCAW)  
1994-96                      President, Scientists Center for Animal Welfare (SCAW)  
1995-Present              Member, American Society of Law, Medicine and Ethics (ASLME)  
2000-2005                  Advisory Committee, Scientists Center for Animal Welfare (SCAW)  
2002-Present              Member, Association of Clinical Research Professionals (ACRP)  
2002-2007                  Council, Association for Accreditation of Human Research Protection Program (AAHRPP)  
2005-2007                  Board of Trustees, Scientists Center for Animal Welfare (SCAW)

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**Publications**

1. Prentice ED, Metcalf WK, Metcalf NF, and Sharp JG: A multi-media approach to teaching human anatomy. Behavior Res. and Tech. in Higher Education, pp 125-137, 1974.
2. Prentice ED and Metcalf WK: A teaching workshop for medical educators. J. Med. Educ., 49:1031-1034, 1974.
3. Prentice ED, Metcalf WK, Metcalf NF, and Holyoke EA: The changing emphasis in gross anatomy. Nebr. Med. J., 59:434-435, 1974.
4. Prentice ED and Metcalf WK: The Nebraska approach to quality medical education. Nebr. Med. J., 60:44-45, 1974.
5. Sharp JG, Jensen RH, Prentice ED and Metcalf WK: Undergraduate grade point average, science grade point average, and science hours as predictors of medical, physical therapy, and physician's assistant students' performance in gross anatomy. Nebr. Med. J., 61:452-457, 1
6. Prentice ED, Metcalf WK, Sharp JG, and Hard WL: Training teachers in the anatomical sciences. J. Med. Educ., 51:1006-1009, 1976.
7. Prentice ED, Lipscomb H, Metcalf WK and Sharp JG: A characterization of the cellular immune status of hypophysectomized rats. Scand. J. Immunol., 5:955-961, 1976.
8. Prentice ED, Metcalf WK, Metcalf NF, Gallagher TF and Sharp JG: Functional-clinical anatomy for physician's assistant students. Nebr. Med. J., 62:104-108, 1977.



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**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

9. Prentice ED, Metcalf WK, Quinn TH and Holyoke EA: Replacement of traditional anatomical dissection by a stereoscopic slide-based auto-instructional program. *Proc AAMC 15th Annual Conf. on Res. in Med. Educ.*, pp 181-186, November 1976.
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11. Prentice ED, Metcalf WK and Metcalf NF: Development and evaluation of individual learning systems in the anatomical sciences. *Aspects of Educational Technology XI*. Hills P and Gilbert J (eds) pp 174-179, 1977, Kogan Page, London, England.
12. Prentice ED, Metcalf WK, Quinn TH, Sharp JG, Jensen RH and Holyoke EA: Stereoscopic anatomy auto-instruction: Evaluation of a new teaching system in human gross anatomy. *J. Med. Educ.*, 52:758-763, 1977.
13. Gardner PJ and Prentice ED: Review: Histology laboratory exercises. *J. Biocomm.*, 4:27-28, 1977.
14. Prentice ED, Sharp JG, Quinn TH, Holyoke EA and Metcalf WK: Stereoscopic anatomy auto-instruction: A new teaching system for undergraduate human anatomy courses. *Proceedings of the Nebraska Academy of Sciences*, 5:29-34, 1978.
15. Prentice ED, Sharp JG, Quinn TH, Holyoke EA and Metcalf WK: Stereoscopic anatomy auto-instruction: A new teaching system for undergraduate human anatomy courses. *Proceedings of the Nebraska Academy of Sciences*, 5:29-34, 1978.
16. Prentice ED, Metcalf NF, Metcalf WK and Stinson WW: The use of peer group models in breast, pelvic and rectal examination instruction as an integral part of medical gross anatomy. *Proc AAMC 17th Annual Conf. on Res. in Med. Educ.*, pp 269-274, 1978.
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18. Prentice ED and Zetterman RK: Evaluation, structure and function of the institutional review board. *Nebr. Med. J.*, 68(9):293-295, 1984.
19. Prentice ED and Zetterman RK: Informed consent of human research subjects. *Nebr. Med. J.*, 68(9):296-300, 1984.
20. Prentice ED: Review of human subjects research: A handbook for IRB's (a review). *Bulletin of the American Academy of Psychiatry and the Law*, 1985.
21. Prentice ED, Zucker IH, and Jameton A: Ethics of animal welfare in research: The institution's attempt to achieve appropriate social balance. *Physiologist*, 29:18-21, 1986.
22. Prentice ED and Antonson DL: A protocol review guide to reduce IRB inconsistency. *IRB A Review of Human Subject Research*, 9(1):9-11, 1987.
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25. Prentice ED, Jameton A, Antonson DL and Zucker IH: Prior ethical review of animal versus human subjects research. Invest. Radiol., 23(9):695-697, 1988.
26. Prentice ED, Antonson DL, Jameton A, Graber B, and Sears TD: Can children be enrolled in a placebo controlled randomized clinical trial of synthetic growth hormone? IRB A Review of Human Subjects Research, 11(1):6-10, 1989.
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34. Prentice ED, Antonson DL, Reitemeier PJ, Kelso TK and Jameton A: Bill of Rights for Research Subjects. IRB: A Review of Human Subjects Research, 15(2):7-9, 1993.
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**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

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**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

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65. Kratochvil CJ, Prentice ED, and March J: Guidance for IRBs on placebo and alternatives to placebo in randomized controlled trials. Medical Research Law and Policy Report. 5(6): 220-224, 2006.
66. Mann MD and Prentice ED: Verification of IACUC approval and just in time procedure. ILAR E-Journal. Vol. 47(3). June 1, 2006; ILAR E-Journal. Vol. 48(1), 2007.
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## **Books/Chapters**

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1. Metcalf WK, Prentice ED, Quinn TH and Holyoke EA (Eds): Regional Human Gross Anatomy, Vol I Head and Neck. Brady Co. of Prentice-Hall, 1980.
2. Prentice ED, Metcalf WK and Holyoke EA: Regional Human Gross Anatomy, Vol II Thorax. Brady Co. of Prentice-Hall, 1980.
3. Metcalf WK, Prentice ED and Holyoke EA: Gross Anatomy Review, 3rd Edition. Medical Examination Publishing Co., Flushing, NY, 1981.

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4. Bayne KA, Greene M and Prentice ED (eds): Current Issues and New Frontiers in Animal Research. SCAW, Greenbelt, MD, 1995.
5. Gonder, J, Prentice ED and Russow, LM (eds): Genetic Engineering and Animal Welfare. SCAW, Greenbelt, MD, 1999.
6. Prentice ED and Purtillo R: The Use and Protection of Human and Animal Subjects. In: Research in Physical Therapy. Bork CE (Ed), J.B. Lippincott, Philadelphia, PA, 1992.
7. Prentice ED and Oki GSF (Chapter editors): Chapter 9: General Concepts of Protocol Review. In: IACUC Handbook, Silverman, J, Suckow, M, Murthy, S. (Book editors), CRC Press, 2000.
8. Prentice ED (Chapter editor): Chapter 11: Continuing Review of Proposals. In: IACUC Handbook, Silverman, J, Suckow, M, Murthy, S. (Book editors), CRC Press, 2000.
9. MacCabbin PD, Gordon BG, and Prentice ED: Protecting Human Subjects in Public Health Research. In: Public Health Administration, Novick LE and Mays GD (Book editors), Aspen 2001
10. Prentice ED, Mann SL, and Gordon BG: "Chapter 2-1. Administrative Reporting Structure for the IRB." Robert Amdur and Elizabeth Bankert, editors, In: Institutional Review Board: Management and Function, Jones and Bartlett, Sudbury, MA, 2002, pp. 35-36.
11. Prentice ED, Selwitz A, Oki GSF: "Chapter 2-6: Audit Systems". Robert Amdur and Elizabeth Bankert, editors, In: Institutional Review Board: Management and Function, Jones and Bartlett, Sudbury, MA, 2002, pp. 66-74.
12. Prentice ED, Mann SL, and Gordon BG: "Chapter 2-7: Charging for IRB Review." Robert Amdur and Elizabeth Bankert, editors, In: Institutional Review Board: Management and Function, Jones and Bartlett, Sudbury, MA, 2002, pp. 75-76.
13. Prentice ED, and Oki GSF: "Chapter 4-1: Exempt from IRB Review." Robert Amdur and Elizabeth Bankert, editors, In: Institutional Review Board: Management and Function, Jones and Bartlett, Sudbury, MA, 2002, pp. 111-113.
14. Gordon BG and Prentice ED: "Chapter 5-5: Requiring Birth Control to Participate in Research." Robert Amdur and Elizabeth Bankert, editors, In: Institutional Review Board: Management and Function, Jones and Bartlett, Sudbury, MA, 2002, pp. 165-168.
15. Gordon BG, Brown J, Kratochvil CJ and Prentice ED: "Chapter 5-9: Paying Research Subjects." Robert Amdur and Elizabeth Bankert, editors, In: Institutional Review Board: Management and Function, Jones and Bartlett, Sudbury, MA, 2002, pp. 185-190.
16. Prentice ED, Epperson KJ, Kratochvil CJ, and Gordon BG: "Chapter 7-3. IRB Review of Adverse Events." Robert Amdur and Elizabeth Bankert, editors, In: Institutional Review Board: Management and Function, Jones and Bartlett, Sudbury, MA, 2002, pp. 297-302.
17. Prentice ED, Gordon BG, Kratochvil CJ and Kotulak GD: "Chapter 9-5: Research Involving Prisoners." Robert Amdur and Elizabeth Bankert, editors, In: Institutional Review Board: Management and Function, Jones and Bartlett, Sudbury, MA, 2002, pp. 394-398.

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18. Gordon BG, Swindells SB, Mann SL and Prentice ED: "Conflict of Interest in Clinical Research." Cotton, AJ, Japour A, Cotton D, In: Where Science Meets Ethics in Clinical Trials: The AIDS Paradigm, Kluwer Academic Publishers, 2003 (in press).

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## **Abstracts**

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1. Prentice ED and Metcalf WK: The theory and practice of teaching in the medical sciences. *Anat Rec* 178:500-501, 1974.
2. Metcalf WK and Prentice ED: A practicum in teaching basic medical sciences. Proc 85th Annual Mtg of the Assoc of American Medical Colleges, November 1974.
3. Prentice ED, Sharp JG and Lipscomb H: Contact sensitivity to dinitrochlorobenzene (DNCB) in hypophysectomized and non-hypophysectomized rats. *Proc Midwest Anat Soc* pp 47, 1974.
4. Prentice ED, Metcalf WK, Quinn TH and Holyoke EA: The Nebraska stereoscopic auto-instructional approach to teaching human gross anatomy. *Proc Midwest Anat Soc* pp 46, 1974.
5. Metcalf NF, Prentice ED and Metcalf WK: Correlative clinical anatomy: An introduction to physical diagnosis. *Proc Midwest Anat Soc* pp 44, 1974.
6. Prentice ED, Metcalf WK, Metcalf NF and Sharp JG: A multi-media approach to teaching human anatomy. Proc 2nd Nat Conf on Research and Technology in Higher Education, Atlanta, GA, 1974.
7. Prentice ED and Lipscomb H: Cellular immunological status of hypophysectomized rats. *Anat Rec* 181:452-453, 1975.
8. Prentice ED and Metcalf WK: The Nebraska teacher training program for graduate students in anatomy. *Proc Midwest Anat Soc* pp 37, 1975.
9. Metcalf WK, Prentice ED, Metcalf NF, Sharp JG and Quinn TH: Student centered learning in anatomy. *Proc Midwest Anat Soc* pp 35, 1975.
10. Prentice ED and Metcalf WK: The changing emphasis in graduate education in the basic medical sciences: Instructor training. *Proc AAMC 14th Annual Conf on Res in Med Educ* pp 309, 1975.
11. Sharp JG, Prentice ED and Metcalf WK: An auto-instructional approach to the teaching of radiological anatomy to medical and allied health students. *Proc Midwest Anat Soc* pp 40, 1975.
12. Prentice ED, Metcalf WK, Sharp JG, Quinn TH and Holyoke EA: Packaged anatomical education: I. Stereoscopic Gross Anatomy. *J Anat* 120(3):628-629, 1975.
13. Sharp JG, Prentice ED and Metcalf WK: Packaged anatomical education: II. Radiological Anatomy. *J Anat* 120(3):629, 1975.
14. Prentice ED, Metcalf WK, Quinn TH and Holyoke EA: Three dimensional self-instructional units for teaching human gross anatomy. *Proc HEMA-HeSCA Conf*, 1975.

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15. Prentice ED and Metcalf WK: Keying the instructional approach to individual learner needs. Proc 18th Annual Meeting of HeSCA pp 15, 1976.
16. Prentice ED, Metcalf WK, Quinn TH and Sharp JG: The Nebraska stereoscopic anatomy auto-instructional program for physician's assistant students. Proc 4th Annual Conf on New Health Pract pp 20, 1976.
17. Prentice ED and Metcalf WK: Stereoscopic anatomy auto-instruction vs. dissection as a learning activity. Anat Rec 184:504-505, 1976.
18. Metcalf WK, Prentice ED, Quinn TH, Sharp JG and Metcalf NF: Replacement of dissection by a stereoscopic anatomy auto-instructional laboratory. J Anat 122:748, 1976.
19. Bauer T, Metcalf WK, Metcalf NF, and Prentice ED: Auto-instruction in histology and cell biology as a substitute for traditional laboratory activities. J Physiol 258:54, 1976.
20. Prentice ED: Individualized instruction: Stating objectives. Proc 18th Annual Meeting of the HeSCA pp 2, 1976.
21. Prentice ED and Benschoter RA: Improving the quality of teaching in the medical school environment. Proc of 1977 HeSCA Conf.
22. Prentice ED, Sharp JG, and Metcalf WK: Analysis of the effect of extrinsic factors on medical student performance on subjective examinations. Proc NSPI Conf pp 24, April 1977.
23. Prentice ED, Metcalf WK and Metcalf NF: Development and evaluation of individual learning systems in the anatomical sciences. Proc of 1977 International APLET Conf, Surrey, Great Britain.
24. Jensen RH, Bukowski EL, Lohr WH and Prentice ED: Anatomical auto-instruction units vs. textbooks as a method of review for the physical therapist. Anat Rec 187:614-615, 1977.
25. Prentice ED, Metcalf WK, Quinn TH, Sharp JG and Holyoke EA: Stereoscopic anatomy auto-instruction: A new teaching system for undergraduate human anatomy courses. Proc Nebr Acad Sci pp 56, April 1977.
26. Metcalf NF, Prentice ED, Stinson WW and Metcalf WK: Functional anatomy: Logical basis for patient examination. Anat Rec 189:549, 1977.
27. Adams HG, Prentice ED, Jelinek EH, Stinson WW, Jensen RH and Metcalf WK: Anatomy in cross-section: Correlation with axial-whole body scans. Anat Rec 189:534, 1977.
28. Prentice ED, Metcalf NF, Stinson, WW and Metcalf WK: The Nebraska approach to breast, pelvic and rectal examination instruction as an integrated part of gross anatomy. Anat Rec 190:601, 1978.
29. Metcalf NF, Metcalf WK, Prentice ED and Sharp JG: Early introduction to clinically orientated embryology: Its role in the achievement of maximal fetal growth and well-being from sperm to term. Proc of Developmental Path Meeting, Aberdeen, Scotland, p. 2, April 1978.
30. Metcalf WK, Metcalf NF and Prentice ED: Using the peer group student-model for teaching the living anatomy of socially-sensitive areas of the body. J Anat 127:202, 1978.

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31. Lipscomb HL and Prentice ED: Cellular immune function in hypophysectomized and nonhypophysectomized rats. *Nebr Med J*, October 1976.
32. Stinson WW, Prentice ED, Metcalf NF and Metcalf WK: Clinical anatomy for undergraduates: A new approach. *Anat Rec* 193:736, 1979.
33. Metcalf NF, Metcalf WK, Prentice ED and Stinson WW: Experiences with a satellite learning resources center. *Anat Rec* 194:625, 1979.
34. Prentice ED, Metcalf WK, Stinson WW and Benschoter RA: The role of the biomedical communication specialist in the evaluation and improvement of communication in the classroom. *Proc of the 1979 HeSCA Conf.*
35. Prentice ED, Metcalf WK and Metcalf NF: Problems associated with medical curriculum development and implementation in Nigeria. *Proc 3rd Annual Third World Conf*, October 1979.
36. Prentice ED: Scientific aspects of prescriptive exercise. *Proc of AAPA Conf*, May 1980.
37. Prentice ED, Levy M and Fasser C: Prescriptive running. *Proc of AAPA Conf*, April 1981.
38. Lydiatt DD, Prentice ED, Davis LF and Metcalf WK: The effects of immobilization on the rabbit temporomandibular joint. *Anat Rec* 208: 301, 1984.
39. Prentice ED and Metcalf WK: Microteaching workshop in the anatomical sciences. *Anat Rec* 211(3):364, 1985.
40. Hutcheson L, Latin RW, Berg K, Prentice ED: A comparison of body composition determination at two hydration levels using bioelectrical impedance analysis (BIA). *Proc of 1987 AAHPER*.

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## **Letters to the Editor**

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1. Prentice ED: Letter to the editor on consistency in interpreting federal regulations. *IRB: A Review of Human Subjects Research* 15(1):11, 1993.
2. Prentice ED, Crouse DA and Mann MD: Letter to the editor on scientific merit review in animal research. *Inst Lab Animal Res* 35(1):1-3, 1993.

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## **Administrative Guidelines/Documents**

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1. IRB Guidelines for the Protection of Human Subjects at the University of Nebraska. (1st Edition, 1981; 2nd Edition, 1987; 3rd Edition, 1992; 4<sup>th</sup> Edition, 1999)
2. IACUC Guidelines for Investigators. (1st Edition, 1986; 2nd Edition, 1992; 3rd Edition, 1995)



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## **Teaching Programs**

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### ***Instructional Videotapes***

1. "How Not to Instruct on Television". Biomedical Communications Department, University of Nebraska College of Medicine, Omaha, NE, 1974. (Videotape with Quinn TH)
2. The Nebraska Video-Sector Series in Human Anatomy (with Quinn TH and Metcalf WK): A series of nine 8-22 minute instructional videotapes.
3. Anatomy of the Thoracic Cavity. NETCHE, Nebraska Educational Television, 1981.
4. Anatomy of the Heart, NETCHE, Nebraska Educational Television, 1981.

### ***Auto-Instructional Programs***

1. The Nebraska Stereoscopic Auto-Instructional Program in Human Gross Anatomy (with Metcalf WK, Quinn TH and Holyoke EA). The program consists of 32 units. Each stereoscopic auto-instructional unit is 15-20 minutes in length and employs stereoscopic slides of sequential anatomical dissections and a written and cassette recorded script. All units on reserve in the UNMC Library Learning Resource Center.

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## **Awarded Grants**

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1. Co-Investigator, National Science Foundation, "Improving Living Anatomy Laboratory for Health Science Undergraduates", \$5,300 (08/01/79 - 08/01/80).
2. Project Evaluator, National Institute of Health, "Developmental Basis of Infant Morbidity and Mortality", \$155,966 (07/01/77 - 06/30/80).
3. Project Evaluator, National Science Foundation (RIAS), "Endocrinology of Reproduction and Development", \$131,000 (07/01/77 - 06/30/81).
4. Principal Investigator, Nebraska Medical Foundation, #CD1343, "Development of the Nebraska Videosector Series in Human Anatomy", \$5,000 (03/01/75 - 06/30/78).
5. Co-Investigator, National Fund for Medical Education, #57-73A, "Developing 3-Dimensional Self-Instructional Units for Teaching Gross Anatomy", \$47,500 (07/01/74 - 06/30/78).
6. Principal Investigator, University of Nebraska Seed Grant, #22-271-782, "Role of the Hypophysis in Immune Function", \$2,400 (12/01/73 - 01/31/74).
7. Principal Investigator, National Institutes of Health, "Electronic Enhancement of IRB Safety Monitoring," \$100,000 (09/10/02 - 09/10/03).
8. Principal Investigator, National Institutes of Health, "IRB Training Through a Web Based Protocol Review Form," \$100,000 (09/10/03 - 09/10/04).

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## **Educational Demonstrations**

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### *National and International Conferences*

- Nov. 15, 1974 Metcalf WK and Prentice ED: A practicum in teaching basic medical science. Demonstrated at the 85th Annual Meeting of the Association of American Medical Colleges, Chicago, IL.
- June 12, 1975 Prentice ED, Metcalf WK, Sharp JG, Quinn TH and Holyoke EA: Packaged anatomical education: I. Stereoscopic gross anatomy. Demonstrated at the Anat. Soc. Meeting of Great Britain.
- Nov. 19, 1975 Metcalf WK, Prentice ED, Metcalf NF, Sharp JG and Quinn TH: The Nebraska auto-instructional approach to medical education. Demonstrated at the 1975 Association of American Medical Colleges Meeting, Washington, DC.
- Apr. 4, 1975 Metcalf WK, Prentice ED, Metcalf NF, Sharp JG, Quinn TH and Bauer T: Cognitive styles and learning: A solution in anatomy. Demonstration at the 1975 HEMA-HeSCA Conference, Atlanta, GA. First Place Award.
- Jan. 22, 1976 Prentice, ED, Metcalf WK, Quinn TH, Metcalf NF and Stinson WW: Packaged anatomical education by the stereoscopic auto-instructional method. Demonstrated at the 1976 HEMA Conference, New Orleans, LA. First Place Award.
- Oct. 17, 1978 Metcalf WK, Prentice ED, Metcalf NF and Stinson WW: Breast-genital exams in anatomy. Demonstrated at the 1978 Association of American Medical Colleges Meeting, New Orleans, LA.

### *Regional Conferences*

- Oct. 15, 1976 Metcalf NF, Prentice ED and Stinson WW: Stereo-anatomy, "A new solution to an old problem". Demonstrated at Midwest Clinical Society Meeting, Omaha, NE. First Place Award for Scientific Excellence.

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## **Invited Lectures**

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### *National Level*

- Sept. 16, 1980 The Development of Effective Lecture Technique. National Library of Medicine, Washington, DC.
- June 18, 1981 Sports Technology, Science and Medicine. University of Lagos College of Medicine, Lagos, Nigeria.
- Oct. 31, 1988 Ethics and Regulation of Clinical Research. Association of Physician Assistant Programs, San Juan, Puerto Rico.
- Aug. 17, 1989 Characteristics of IRB Review. OPRR/FDA Conference on Ethical Issues in Biomedical and Behavioral Research, NIH, Bethesda, MD.
- Sept. 19, 1989 IRB Protocol Review Using Systematic Definitive Criteria. OPRR/FDA Conference on Ethical Issues in Biomedical and Behavioral Research, NIH, Bethesda, MD.

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- Oct. 11, 1989      Increasing the Validity of IACUC Review. ARENA (Applied Research Ethics National Association) Conference, Boston, MA.
- Oct. 2, 1989      Issues for IRBs in the 1990s: Commercial Interests, Conflict of Interest, and the IRB. Invited Panel Discussion Commentator, PRIM&R (Public Responsibility in Medicine and Research) Conference, Boston, MA.
- July 19, 1990      Ethical and Legal Considerations in Conducting Placebo Based RCTs in Children. NIH/FDA Conference on Protection of Human Subjects, St. Louis, MO.
- Oct. 29, 1990      How to Increase the Ethical Consistency of IACUC Review of Animal Research. Scientists Center for Animal Welfare Conference, Baltimore, MD.
- June 1-2, 1991      Scientific Merit Review in Animal Research: Role of the IACUC. Scientists Center for Animal Welfare Conference, Philadelphia, PA.
- Oct. 20, 1991      Effective Animal Care and Use Committees. Society of Research Administrators Conference, Vancouver, B.C.
- Apr. 24, 1992      Nazi Doctors and Their Crimes Against Humanity. Washington University School of Medicine Program for the Humanities in Medicine, St. Louis, MO.
- June 19, 1992      Addressing Scientific Quality of Animal Research Not Externally Reviewed. OPRR/ Columbia University Health Sciences Conference, New York, N.Y.
- Feb. 12, 1993      Ethical Responsibilities of the IACUC. American Association for Advancement of Science, Boston, MA.
- Mar. 17, 1993      Keynote Address - Scientific Merit in Animal Research: Whose Responsibility Is It? ARENA IACUC Conference, Boston, MA.
- Oct. 20, 1993      Keynote Address - The Nuremberg Doctors Trial: Genesis of the IRB. ARENA IRB Conference, Boston, MA.
- Mar. 23, 1994      The 1946 Nuremberg Doctors Trial: Genesis of Informed Consent in Clinical Research. 18th annual meeting of the Associates of Clinical Pharmacology, San Antonio, TX.
- May 12, 1994      Ethics and Science of Xenoperfusion and Xenotransplantation. Scientists Center for Animal Welfare Conference: Research Animal Anesthesia, Analgesia and Surgery, Atlanta, GA.
- Nov. 11, 1994      History Repeats Itself - The Development and Importance of IRBs. University of Texas Southwestern Medical Center/Tarrant County Community IRB, Conference on Trends in Research Involving Human Participants. Fort Worth, TX.
- Dec. 9, 1994      Ethics of Xenotransplantation. SCAW (Scientists Center for Animal Welfare) Conference: New Frontiers in Animal Research. San Antonio, Texas. (Conference Chair).
- Jan. 10, 1995      PEG-SOD Case Study. FDA/NIH Public Forum on Informed Consent in Clinical Research Conducted Under Emergency Circumstances. Bethesda, MD.

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- May 5, 1995      Xenotransplantation: Medical Adventurism or Justifiable Clinical Research. OPRR/ Washington University School of Medicine Conference. St. Louis, MO.
- May 5, 1995      Ethics and Regulation of Emergency Research. OPRR/Washington University School of Medicine Conference. St. Louis, MO.
- May 6, 1995      Keynote Address - From Nazi War Crimes to the Nuremberg Code. OPRR/ Washington University School of Medicine Conference. St. Louis, MO.
- May 22, 1995     Ethical Issues in Xenotransplantation. Bio 95 International Biotech Conference. San Francisco, CA.
- June 6, 1995     Ethics of Xenotransplantation and Transgenic Animal Donors. North Carolina Biotechnology Conference. Research Triangle Park, NC.
- Sept. 18, 1995    Keynote Address. Historical Perspectives in the Evolution of Human Subjects Protection. NIH/FDA Conference on Protection of Human Subjects. Oxford, MI.
- Sept. 18, 1995    Research with Children. NIH/FDA Conference on Protection of Human Subjects. Oxford, MI.
- Mar. 13, 1996     Review of Clinical Xenotransplantation. ARENA IACUC Conference. Boston, MA.
- Apr. 10, 1996     Legal Issues Regarding Pediatric Research Subjects. OPRR/FDA/Emory University Conference. Atlanta, GA.
- Apr. 11, 1996     Prison Research: Behavioral Studies Involving Inmates. OPRR/FDA/Emory University Conference. Atlanta, GA.
- Apr. 17, 1996     Informed Consent: Current Issues. Medical College of Pennsylvania and Hahnemann University Symposium. Philadelphia, PA.
- Apr. 17, 1996     Problems Affecting IACUC Review. Medical College of Pennsylvania and Hahnemann University Symposium. Philadelphia, PA.
- May 16, 1996     IACUC Membership. OPRR - Wright State University National Animal Welfare Education Workshop. Dayton, OH.
- Sept. 5, 1996     History of Xenotransplantation and Current Concerns. SCAW Genetic Engineering Conference. Chicago, IL (Conference Chair).
- Sept. 16, 1996    In-Service for the NIH Office for Protection from Research Risks (OPRR) on Research Involving Prisoners and Children. Rockville, MD.
- Sept. 27, 1996    Preparing for the FDA IRB Inspection. OPRR-NIMH-NIH-FDA University of Illinois Conference. Peoria, IL.
- Oct. 31, 1996     Current Ethical, Scientific and Social Issues Concerning the Use of Animal Organs as Spare Parts. Cleveland Clinic, Cleveland, OH.

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- Nov. 9, 1996 Commercialization of Human Biological Materials. ARENA IRB Conference. San Diego, CA.
- Dec. 5, 1996 Protocol Review Results of the SCAW IACUC Study. SCAW Conference. San Antonio, TX.
- June 24, 1997 Increasing the Effectiveness of Informed Consent in Clinical Studies. The 33rd Annual Drug Information Meeting. Montreal, Canada.
- Aug. 20, 1997 Additional Protections for Vulnerable Subject Populations. University of Washington School of Medicine/OPRR/FDA Human Subjects Protections Workshop. Seattle, WA.
- Dec. 7, 1997 Is Annual IRB Continuing Review a Myth or Reality? ARENA IRB Conference. Boston, MA.
- Dec. 11, 1997 Xenotransplantation: What's Old and What's New? SCAW Conference. San Antonio, TX.
- Mar. 14, 1998 What Does Informed Consent Mean in Clinical Research and How Can We Improve Its Quality? Columbia Presbyterian Medical Center. New York, NY.
- Mar. 28, 1998 History of Xenotransplantation and Overview of Current Issues. PRIM&R IACUC Conference. Boston, MA.
- Apr. 16, 1998 Commercialization of Cord Blood and Other Biological Material: Who Owns It and Other Issues? St. Vincent's Medical Center of Richmond. Staten Island, NY.
- June 1, 1998 Ethical Cost Benefit Assessment in Animal Research. OPRR/USDA/University of Nevada Conference. Reno, NV.
- Aug. 6, 1998 Nuremberg and Tuskegee: Defining Events in Research Ethics. OPRR/FDA/ University of Rochester Conference. Rochester, NY.
- Aug. 20, 1998 Who Owns the Human Body: Issues of Biological Property Rights, Societal Values, and IRB Responsibilities. Western IRB 14<sup>th</sup> Annual Conference. Seattle, WA.
- Dec. 7, 1998 Justifying Animal Research Using Ethical Cost Benefit Assessment. SCAW Conference. San Antonio, TX.
- Jan. 15, 1999 Who Owns the Human Body and Its Parts: Issues of Property Law, Ethics and Societal Values. El Camino Hospital Ethics Series, El Camino, CA.
- Mar. 20, 1999 Protocol Review: IACUC 101—A Primer for Effective Animal Care and Use Programs. AALAS/PRIM&R/ARENA, San Diego, CA.
- Mar. 5, 1999 Perception Versus Reality in the IRB World: View From the Trenches. FDA Protection of Human Subjects Conference. Bethesda, MD.
- Apr. 30, 1999 Guidelines for Responding to Allegations of Non-Compliance with 21 CFR 50, 56 and 45 CFR 46. University of Miami/FDA/Veteran's Administration Medical Center Conference on Protection of Human Subjects, Miami, FL.

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- May 21, 1999      The Ethics of Using Animal Organs as Spare Parts for Humans. St. Vincent's Medical Center Ethics Seminar Series. Long Island, NY.
- May 27, 1999      Reflections on the IRB of Yesteryear, Today, and Tomorrow. University of Illinois IRB Continuing Education Program, Peoria, IL.
- June 30, 1999      The OIG Report, the Federal Compliance "Hammer" and IRB Perspectives. Drug Information Agency (DIA) 35<sup>th</sup> Annual Meeting, Baltimore, MD.
- July 29, 1999      A Historical and Contemporary Look at the IRB with an Eye to the Future. Spartanburg Regional Medical Center, Spartanburg, SC.
- Sept. 10, 1999      IRB Assessment of Research Risk. Veteran's Affairs Ethics Center Conference, Minneapolis, MN.
- Oct. 6, 1999      The IRB and the Clinical Investigator as Partners in Protecting Research Subjects. Winthrop University, Mineola, NY.
- Nov. 7, 1999      Basic Elements of Protocol Review: IACUC 101. AALAS Annual Meeting, Indianapolis, IN.
- Nov. 18, 1999      Informed Consent Issues. Infectious Diseases Society of America Annual Conference, Philadelphia, PA.
- Nov. 20, 1999      History, Problems and Dilemmas Which Impact on the Modern IRB. Schulman IRB Annual Workshop, Cincinnati, OH.
- Dec. 5, 1999      Should Institutions Charge for IRB Review. ARENA IRB Conference, Boston MA.
- Mar. 9, 2000      Compliance with FDA and HHS Regulations Is Serious Business. Barnett International Conference, Philadelphia, PA.
- Mar. 12, 2000      Alternative Searches and Inherent Frustrations: The IACUC's Perspective. ARENA Conference, Boston, MA.
- June 14, 2000      Recruitment of Study Subjects. The IRB's Perspective. DIA Meeting, San Diego, CA.
- July 11, 2000      Risk Assessment in Research. National Bioethic Advisory Commission (NBAC) Meeting, Bethesda, MD.
- Aug. 2, 2000      International Historical Perspectives and Current Protections of Human Subjects. International Association for the Scientific Study of Intellectual Disability. Seattle, WA.
- Sept. 25, 2000      Current HHS and FDA Compliance Standards. Institute for International Research, Princeton, NJ.
- Oct. 2, 2000      Issues Regarding Financial Conflicts of Interest in Clinical Research. Association of Independent Research Institutions, Santa Monica, CA.
- Oct. 9, 2000      IRB Review of Recruitment Strategies. Institute for International Research, Orlando, FL.

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- Oct. 26, 2000      Understanding the Current Climate of Research Accountability and Compliance in the U.S. Barnett International Conference, San Diego, CA.
- Oct. 29, 2000      Perception Versus Reality in the IRB World. ARENA IRB Conference, San Diego, CA.
- Dec. 4, 2000        Ethics, Public Policy and IRB/IACUC Responsibility for Research Involving Xenotransplantation. SCAW Conference, San Antonio, TX.
- Mar. 2, 2001        Human Research Protection and Lessons from History. University of Wisconsin Systems Meeting, Stevens Point, WI.
- Mar. 19, 2001      Genesis of the IRB from Nuremberg to Gene Transfer, Barnett International Conference, Philadelphia, PA.
- May 1, 2001        Evolution and Ethical Basis of Regulations for the Protection of Human Subjects. ACRP Annual Conference, San Francisco, CA.
- July 11, 2001      IRB Review of Adverse Events. Midwest Bioethics Center, Kansas City, MO.
- Aug. 16, 2001      Development and Review of Animal Use Protocols. ARS-NADC Training for Russian Scientists, Ames, IA.
- Oct. 24, 2001      Changes and Reforms on the Horizon in Human Subject Protection. NAAD Conference, New Orleans, LA.
- Nov. 6, 2001        IRBs: A System in Jeopardy. RAPS Annual Conference, Baltimore, MD.
- Dec. 27, 2001      Conflict of Interest Management. Harbor UCLA Medical Center, Torrance, CA.
- Jan. 22, 2002      Current Status of Human Subjects Protection. Pacific Health Research Institute, Consortium of Ten Institutions, Honolulu, HI.
- Jan. 29, 2002      Conflict of Interest: A Challenge for the Times. DIA Conference, Washington, DC.
- Feb. 22, 2002      Conflicts of Interests in Clinical Research and Their Management. SAIRB Annual Conference, Cincinnati, OH.
- Mar. 5, 2002        Forces Driving the IRB System in the U.S. and Their Impact on Clinical Research, DIA Conference, Washington, DC.
- Apr. 15, 2002      The Belmont Report: Is it Applicable to Modern Day Clinical Research. ACRP Annual Conference, Toronto, Canada.
- Apr. 25, 2002      Defining Events in Research From Nuremberg to 2002. Saint Vincent Medical Center, Staten Island, NY.
- June 15, 2002      Ethics and Regulation of Research Involving Children. 49<sup>th</sup> Annual SNM Conference, Los Angeles, CA.

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- June 17, 2002      A Primer on HIPAA. DIA Conference, Chicago, IL.
- Sept. 10, 2002      Conflicts of Interest in the Biomedical Industry. Strategic Research Institute 10<sup>th</sup> International Conference, San Francisco, CA.
- Sept. 20, 2002      Applying Subpart D in IRB's Oversight of Pediatric Clinical Trials. Barnett International Conference, Philadelphia, PA.
- Oct. 1, 2002      Impact of Research Scandals and Lawsuits on Clinical Research. Barnett International Conference, Philadelphia, PA.
- Oct. 7, 2002      History and Evolution of the IRB. RAPS Annual Conference, Washington, DC.
- Oct. 8, 2002      What the Clinical Trials Industry Needs to Know about the Wave of Law Suits. RAPS Annual Conference, Washington, DC.
- Oct. 8, 2002      Hot Topics in the IRB World. RAPS Annual Conference, Washington, DC.
- Oct. 22, 2002      Ethics and Regulation of Placebo Controlled Clinical Trials in Children. American Academy of Child and Adolescent Psychiatry, San Francisco, CA.
- Dec. 10, 2002      How to Handle and Report Allegations of Non-Compliance to OLAW and USDA. SCAW Annual Conference, New Orleans, LA.
- Dec. 26, 2002      Research with Children: The Ethical Imperative is Don't Underprotect or Overprotect. Harbor UCLA Medical Center, Torrance, CA.
- Feb. 22, 2003      The Interpretation and Application of Subpart D in the IRB's Review of Pediatric Research. Emory University IRB Retreat, Atlanta, GA.
- Feb. 22, 2003      Conflict of Interest in Clinical Research. Emory University IRB Retreat, Atlanta, GA.
- Mar. 6, 2003      Update on SACHRP. Medical Research Summit Conference, Washington, DC.
- Mar. 6, 2003      The Pediatric Smallpox Vaccine Trial: 407 Review. Medical Research Summit Conference, Washington, DC.
- Mar. 11, 2003      Alteration or Waiver of Authorization/Consent Under HIPAA. International Pharmaceutical Privacy Consortium, Washington, DC.
- Mar. 14, 2003      Key Lawsuits in Clinical Research and Their Impact on Investigators, Institutions and IRBs. ACRP Baltimore Chapter, Baltimore, MD.
- Apr. 9, 2003      Issues in Clinical Research and Human Subject Protection. ACRP Annual Conference, Philadelphia, PA.
- June 16, 2003      Regulatory, Criminal and Civil Liability From Clinical Research. DIA 2003 Conference, San Antonio, TX.



**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- Aug. 18, 2003 Challenges Facing the New HHS Secretary's Advisory Committee. Western IRB Annual Conference, Seattle, WA.
- Sept. 10, 2003 Forces Driving Changes in the IRB System: Why Life is Not the Same for Either Clinical Researchers or the IRB. Henry Ford Health System, Detroit, MI.
- Sept. 19, 2003 Keynote Address: The Past, Present and Future of Human Experimentation. Schulman Associates, University of Cincinnati, Cincinnati, OH.
- Sept. 23, 2003 Update on SACHRP. Friends Research Institute/UCSF IRB Conference, San Francisco, CA.
- Nov. 17, 2003 National Concerns and Challenges in the Field of Research Subject Protection. Community Research Forum for Central Iowa, Des Moines, IA.
- Dec. 10, 2003 Moore and Greenberg: Un-consented Use of Human Tissue for Commercial Purposes. CBI's Forum on Limiting Exposure to Liability in Clinical Trials, Philadelphia, PA.
- Mar. 12, 2004 The Impact of Litigation on Clinical Research, Investigators and IRBs. ACRP Baltimore-DC Chapter Meeting, Baltimore, MD.
- Apr. 22, 2004 Ethical Pediatric Research Requires Balanced Protection. NIGMJ/NIH Step Forum, Bethesda, MD.
- Apr. 23, 2004 Update on the DHHS Advisory Committee on Human Research Protections, 4<sup>th</sup> Annual Medical Research Summit, Baltimore, MD.
- Apr. 28, 2004 Kids Participating in Research Should Not Be Overprotected or Under-Protected. University of Iowa, Iowa City, IA.
- Apr. 28, 2004 The Intrusion and Consequences of Litigation in the Clinical Research Environment. University of Iowa, Iowa City, IA.
- May 6, 2004 Keynote Address: The Wings of Change in the Ethics, Regulation, and Conduct of Research. Temple University, Philadelphia, PA.
- June 29, 2004 Keynote Address: Balancing Animal Welfare With Scientific Need Using an Ethical Cost-Benefit Assessment, Michigan Society for Medical Research, Detroit, MI.
- July 16, 2004 The Nazi Doctor Experiments, Chesapeake Research Review, Inc. Seminar, Columbia, MD.
- Sept. 10, 2004 The Ethics of Placebo Controlled Clinical Trials in the US and Elsewhere. Schulman Associates, UK, UC Annual Conference, Cincinnati, OH.
- Sept. 11, 2004 IRB Reporting Requirements for Review of Adverse Event Reports (AERs). Emory University IRB Retreat, Emerald Point, GA.
- Sept. 21, 2004 Keynote Address: Balancing Flexibility With Compliance in IRB Review of Behavioral Research. University of Texas at Austin IRB Conference, Austin, TX.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- Sept. 25, 2004 The IRB's View of Placebo Ethics in Pediatric Research. DCRI Pediatric Psychopharmacology "Think Tank" Meeting, Washington, DC.
- Sept. 30, 2004 Understanding IRB Requirements for Review and Oversight of Pediatric Trials. Barnett International 5<sup>th</sup> Annual Pediatric Clinical Trials Conference, Philadelphia, PA.
- Oct. 10, 2004 The Definition and Clarification of Human Subject Research. NHG Annual Scientific Congress 2004, Singapore.
- Oct. 10, 2004 The Ethics and Regulation of Databases. NHG Annual Scientific Congress 2004, Singapore.
- Nov. 6, 2004 The Genesis, Interpretation, and Application of Subpart D to Pediatric Research. Chesapeake Research Review, Inc. Retreat, Queenstown, MD.
- Nov. 12, 2004 Evolution of the Ethics and Regulation of Human and Animal Research. Fred Hutchinson Cancer Research Center, Seattle, WA.
- Nov. 14, 2004 Keynote Address: Challenges Facing the Contemporary Local IRB. Northwest Association for Biomedical Research (NWABR) Annual Conference, Bellevue, WA.
- Nov. 15, 2004 Perils of a Litigious Climate. Northwest Association for Biomedical Research (NWABR) Annual Conference, Bellevue, WA.
- Nov. 19, 2004 Ethics and Regulatory Oversight of Clinical Research. Palm Beach Garden Medical Center, Palm Beach, FL.
- Dec. 27, 2004 Informed Consent is Not a Piece of Paper. Harbor UCLA Medical Center, Torrance, CA.
- Jan. 10, 2005 Use of "Minimal Risk" as a Threshold Standard of Risk. APA-Fordham University Conference on Minimal Risk, New York, NY.
- Feb. 4, 2005 Customer Service and Human Subject Protection is Job One for All IRBs. Chesapeake Research Review, Inc. Retreat, Cambridge, MD.
- Mar. 14, 2005 Making the Case for Accreditation. AAHRPP Annual Conference, Atlanta, GA.
- Mar. 23, 2005 The Impact of Adverse Litigation on Clinical Research. The Society of Clinical Research Associations, Inc. (SOCRA), Crystal City, DC.
- Apr. 5, 2005 The Secretary's Advisory Committee on Human Research Protection: Two Years of Progress. ACRP 2005 Annual North American Conference, Orlando, FL (co-presented with Tom Adams).
- May 1, 2005 Genesis and Role of the IACUC. ARVO Annual Conference, Ft. Lauderdale, FL.
- May 13, 2005 Conflict of Interest in Clinical Research: What Is It, How Bad Is It, What Should We Do About It? ACRP Baltimore-DC Chapter Meeting, Ellicott City, MD.
- May 18, 2005 Keynote Address: Evolution of the Ethics and Regulation of Animal Research. Michigan Society for Medical Research Annual Meeting, Brighton, MI.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- May 27, 2005      Protecting Children While Advancing Science is Key. Yale University, New Haven, CT.
- June 2, 2005      Keynote Address: The Winds of Change in the Ethics and Regulation of Research. Medical College of Wisconsin-OHRP Conference, Milwaukee, WI.
- June 2, 2005      Investigating Allegations of Non-Compliance at the Institutional Level. Medical College of Wisconsin-OHRP Conference, Milwaukee, WI.
- July 11, 2005      Building and Sustaining a Tribal IRB: Guiding Principles of the Belmont Report are the Cornerstones of Human Subject Protection Research. American Indian/Alaska Native (AI/AN) Conference, Rapid City, SD.
- June 17, 2005      How to Conduct Research in AI/AN Communities: The Validity of Informed Consent is Dependent Upon the Process, Not the Document. AI/AN Conference, Fort Yates, ND.
- July 22, 2005      Analysis and Use of "Minimal Risk" as a Threshold Standard of Risk in IRB Decision Making. Chesapeake Research Review, Inc. Seminar, Columbia, MD.
- Aug. 16, 2005      Keynote Address: Protecting Human Subjects in a Changing Research Environment: From Belmont to SACHRP and Beyond. Youngstown State University-OHRP Conference, Youngstown, OH.
- Sept. 30, 2005      SACHRP: Advancing Human Subject Protection for the Benefit of Science and Society. Schulman Associates, UK, and UC Annual Conference, Cincinnati, OH.
- Nov. 10, 2005      The Winds of Change in Human Subject Protection. Western IRB Annual Dinner, Olympia WA.
- Nov. 12, 2005      The Impact of Lawsuits in the Clinical Research Arena. Chesapeake Research Review, Inc. Retreat, Aspen Wye River, MD.
- Mar. 6, 2006      Keynote Address: A Walk Through the History of Research Ethics. University of Las Vegas Conference, Las Vegas, NV.
- Mar. 7, 2006      Issues of Informed Consent in Internet Research. University of Las Vegas Conference, Las Vegas, NV.
- Mar. 28, 2006      On Being An Institutional Official. PRIM&R IACUC Conference, Boston, MA.
- Apr. 6, 2006      The Identification and Management of Investigator and IRB Member COI. The Society of Clinical Research Association, Inc. (SOCRA), Crystal City, DC.
- Apr. 13, 2006      Lessons Learned From Clinical Trial Litigation. Kansas University Medical Center, Kansas City, MO.
- Apr. 24, 2006      US Regulatory Oversight of Clinical Research. 2<sup>nd</sup> Annual Clinical Research in Canada Conference, Toronto, Canada.
- Apr. 27, 2006      Team Approach to Compliance. University of California at Davis, Davis, CA.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- May 1, 2006 SACHRP: Three Years of History Making Recommendations and Challenges. ACRP 2006 Annual North America Conference, Phoenix, AZ (Co-Presented with Tom Adams).
- May 2, 2006 Case Studies. ACRP 2006 Annual North American Conference, Phoenix, AZ (Co-Presented with Linda Strause).
- June 1, 2006 History, Organization, and Mission of the Modern IRB That Define Challenges Today. American Society of Gene Transfer (ACTG), Baltimore, MD.
- June 2, 2006 Keynote Address: SACHRP: Overview, Mission, and Current Initiatives. University of Colorado Health Science Center Conference, Denver, CO.
- June 7, 2006 Principle One of the Nuremberg Code is the Ethical Basis of Informed Consent. University of Maryland, Baltimore, MD.
- June 7, 2006 Role and Composition of the IRB. Chesapeake Research Review, Inc., Columbia, MD.
- June 8, 2006 The Crossroads of Clinical Research: Applied Ethics, Litigation, and Medical Practice. 5<sup>th</sup> Annual Donovan Research Ethics Lecture, Baltimore, MD.
- June 14, 2006 What You Need to Know About Regulations and the Law Concerning Research With Human Biological Material. Walter Reed Army Research Institute, Washington, DC.
- June 14, 2006 The Classification of Risk Levels Using the Minimal Risk Threshold. Walter Reed Army Research Institute, Washington, DC.
- June 15, 2006 What's Wrong With This IACUC? They Just Don't Get It. SCAW Focus Group, National Academy of Science, Washington, DC.
- June 21, 2006 SACHRP Update: Recommendation and New Challenges. DIA 42<sup>nd</sup> Annual Conference, Philadelphia, PA (Co-Presented with Tom Adams).
- June 22, 2006 Dilemma of Role Conflicts. DIA 42<sup>nd</sup> Annual Conference, Philadelphia, PA.
- Sept. 8, 2006 SACHRP: Working to Enhance Human Subject Protection While Reducing Regulatory Burden. Western IRB Annual Conference, Seattle, WA.
- Sept. 13, 2006 SACHRP IN ACTION: Genesis, Challenges, and Achievements. Case Western University, Cleveland, OH.
- Sept. 27, 2006 How to Get to Know and Love Your IACUC. Safety Pharmacology Society, San Diego, CA.
- Sept. 29, 2006 Keynote Address: SACHRP: It's Genesis, Mission, and Current Activities. North Dakota State University, Fargo, ND.
- Nov. 4, 2006 To Evaluate or Not to Evaluate IRB Members: That is the Question. Chesapeake Research Review, Inc. Annual Retreat, Baltimore, MD.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- Nov. 15, 2006 SACHRP and Hot Topics for Institutional Officials. PRIM&R 2006 Annual Pre-Conference, Washington, DC.
- Mar. 7, 2007 Genesis of Additional Protection in Research Involving Special Populations. AHRQ, Rockville, MD.
- Apr. 20, 2007 SACHRP: Reflections on Four Years of Challenges and Achievements. 2007 ACRP Global Conference, Seattle, WA (Scheduled).
- May 7, 2007 Research Ethics Didn't Evolve Naturally: It Was Driven. Front Range ACRP Meeting, Denver CO (Scheduled).
- June 2, 2007 Integrated Human Subject Protection Using the NCI Central IRB, Chicago, IL (Scheduled).
- June 7, 2007 Keynote Address: Between "The Rock and the Hard Place" Dealing With Therapeutic Misconception, Medical College of Wisconsin, Milwaukee, WI (Scheduled).
- Oct. 19, 2007 Investigator and IRB Member Conflicts of Interest Must Be Scrupulously Managed: UC, UK, and Schulman Human Protection Conference, Covington, KY (Scheduled).

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## **Invited Lectures**

### ***Regional Level***

- Apr. 26, 1980 Physiological Adaptation to Chronic Aerobic Exercise. Nebraska Association of Physician Assistants (NAPA) Conference on Exercise, Athletes and Medicine, Lincoln, NE.
- June 4, 1980 The Anatomical Basis of Sports Injuries. UNO Department of Physical Education, Omaha, NE.
- March 1, 1981 Scientific Principles of Strength Training. UNMC Department of Orthopedics and Sports Medicine, Omaha, NE.
- Apr. 8, 1981 Principles of Conditioning for Distance Runners. UNMC Department of Orthopedics and Sports Medicine, Omaha, NE.
- Sept. 12, 1981 Cause and Prevention of Sports Injuries. UNO Department of Physical Education, Omaha, NE.
- May 2, 1982 The Mechanical Basis of Injuries to Runners. Nebraska Medical Association, Omaha, NE.
- Nov. 19, 1982 Holistic Medicine and Its Relationship to Aerobic Exercise. UNMC College of Dentistry, Omaha, NE.
- June 10, 1983 Sports Medicine. UNO Department of Physical Education, Omaha, NE.
- June 4, 1984 Overuse Injuries in Runners. UNO Department of Physical Education, Omaha, NE.
- Oct. 29, 1984 Role of the IRB in Biomedical Research. UNMC Family Practice Continuing Education, Omaha, NE.

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- Dec. 1, 1984      The Investigator's Challenge of the 80s: Animal and Human Review Mandates. UNMC Sigma XI, Omaha, NE.
- Feb. 3, 1985      Assessing Risks in Biomedical Research: A Challenge for the IRB. UNMC Humanities Rounds, Omaha, NE.
- Jan. 14, 1986     The New Public Health Service Policy on Animal Welfare. UNO Department of Biology, Omaha, NE.
- Nov. 7, 1986      Wellness Lifestyle for the Cancer Patient. UNMC Hematology-Oncology Section Meeting, Omaha, NE.
- Dec. 3, 1986      Concept of Wellness and Alteration of Risk Factors. UNMC Department of Pathology and Microbiology, Omaha, NE.
- Jan. 19, 1987     The Institutional Review Board. UNMC College of Pharmacy, Omaha, NE.
- Jan 26, 1987      The Animal Review Committee. UNMC College of Pharmacy, Omaha, NE.
- Apr. 21, 1987     Importance of Maintaining Physical Fitness. UNMC Teleconference Network for Nurses, Omaha, NE
- May 15, 1987      Protection of Human Subjects. UNMC Research Skills Workshop, Omaha, NE.
- May 19, 1987      Peripheral Nerve Lesions. West Dodge Physical Therapy Clinic, Omaha, NE.
- Oct. 28, 1987     Ethical Issues in Animal Research. UNMC Humanities Grand Rounds, Omaha, NE.
- Nov. 4, 1987      Ethical Guidelines in Human and Animal Research. UNMC Department of Psychiatry, Omaha, NE.
- Nov. 9, 1987      Vivisectionists vs. Antivivisectionists: Who Is Right and What Are the Issues? UNMC Department of Anatomy, Omaha, NE.
- Jan. 6, 1988      Ethics of Human Experimentation. UNMC Department of Internal Medicine, Omaha, NE.
- Mar. 18, 1988     Ethical Guidelines in Human and Animal Research. UNMC School of Physical Therapy, Omaha, NE.
- Feb. 1, 1989      Role of the IRB in Oncology Research. Good Samaritan Hospital, Kearney, NE.
- Mar. 6, 1989      History of the Regulation of Human Research. UN, Lincoln, Anthropology Department, Lincoln, NE.
- Feb. 5, 1990      The Ethics of Fetal Tissue Transplantation Research. UNMC Department of Anatomy, Omaha, NE.
- Nov. 17, 1990     Use of Animals in Research and Teaching at the High School and College Level. Nebraska Junior Academy of Science, Wayne State College, Wayne, NE.

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- Feb. 26 1992      IRB Workshop: Human Subjects. UN, Lincoln, Lincoln, NE.
- Mar. 5, 1992 &  
Mar. 16, 1992      The Policies and Procedures of the Institutional Review Board. UNO, Omaha, NE.
- Mar. 17, 1992      The Evolution of Animal Welfare Ethics in the United States. UN, Lincoln, Department of Veterinary Science, Institute of Agriculture and Natural Resources, Lincoln, NE.
- Apr. 3, 1992      Evolution of the Ethics of Human Subjects Research: From Nuremberg to Desert Storm. Annual Sigma XI Lecture. UN, Kearney. Kearney, NE.
- Feb. 22, 1994      Obtaining Informed Consent from Cancer Patients. Humanities and Law Rounds, UNMC. Omaha, NE.
- Mar. 30, 1994      Evolution of the Animal Rights Campaign Against the Scientific Use of Animals: 1981-1993, UNO Department of Biology. Omaha, NE.
- Apr. 11, 1995      Evolution of Research Ethics: Past, Present and Future. Creighton University, Omaha, NE.
- Jan 3, 1997      Protection of Human Subjects in Clinical Research: Past, Present and Future. Internal Medicine Grand Rounds, UNMC, Omaha, NE.
- Apr. 1, 1997      The 1946 Nuremberg Doctors Trial and Its Relevance to Modern Day Medicine. History of Medicine Club, Omaha, NE.
- Apr. 5, 1997      Involvement of OPRR When an Institution Is Accused of Non-Compliance. Midwest Regional AALAS Meeting, Omaha, NE.
- Apr. 7, 1999      The Ethics and Regulation of Clinical Xenotransplantation, Department of Surgery, University of Nebraska Medical Center, Omaha, NE.
- May 10, 1999      Protecting the Rights and Welfare of Human Subjects. Methodist Hospital School of Nursing, Omaha, NE.
- Aug. 13, 1999      Institutional and Investigator Responsibilities for Protecting the Rights and Welfare of Research Subjects, Methodist Hospital, Omaha, NE.
- Aug. 31, 1999      Evolution, Structure, Function and Problems of the Modern IRB. Methodist Hospital IRB Training Conference, Omaha, NE.
- May 8, 2000      Maintaining Compliance with Federal Regulations. NCURA/SRA, Omaha, NE.
- Nov. 28, 2000      Regulation of Research Involving Children. Children's Hospital, Omaha, NE.
- June 20, 2005      The Nazi Doctor's Crimes Against Humanity. Omaha Westside Lion's Club, Omaha, NE.
- Oct. 27, 2005      Informed Consent = Is it a Piece of Paper or a Process? ACRP Nebraska Chapter Meeting, Omaha, NE.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

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**Invited Workshops/Breakout Sessions/Training\***

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\*Most workshops/breakout sessions involve co-faculty

***National Level***

- April 2, 1978      The Keys to Effective Teaching. American Association of Physician Assistants (AAPA) Conference, Hollywood, FL.
- May 12, 1978      Evaluation of Instructional Programs. Health Education Sciences Communications Association (HeSCA) Conference, Tucson, AZ.
- Oct. 23, 1986      Mock Protocol Review of Animal Research. Scientists Center for Animal Welfare (SCAW) Conference, Toronto, Canada.
- May 3, 1989      Increasing the Consistency of Protocol and Consent Form Review. NIH/FDA Conference, Omaha, NE.
- Oct. 12, 1989      Developing IRB Policies and Consent Procedures for Protocols Involving the Commercialization of Therapies. Public Responsibility in Medicine and Research (PRIM&R) IRB Conference, Boston, MA.
- Nov. 6, 1989      Conflicts Involving the Commercialization of Biotechnology: Who Stands to Profit? PRIM&R IRB Conference, Boston, MA.
- July 19, 1990      Educating Investigators. NIH/FDA Conference on Protection of Human Subjects, St. Louis, MO.
- Sept. 16, 1990      Compliance Issue: Ethical Review of Animal Research. Society of Research Administrators, Milwaukee, WI.
- Nov. 1, 1990      Development of Cell Lines and Biologics: IRB Policies. PRIM&R IRB Conference, Boston, MA.
- May 20-21, 1993      Research Benefits and Risks to Individuals and Communities: Legal and Ethical Perspectives. NIH/FDA/Indian Health Service Conference, University of Alaska, Anchorage, AK.
- July 11, 1994      Contemporary Issues on Existing and New Research Guidelines on Women and Minority Groups: Special Emphasis on American Indians. NIH/FDA/Indian Health Service Conference, Bloomington, MN.
- Mar. 14, 1995      Xenotransplantation and Xenoperfusion. PRIM&R IACUC Conference, San Diego, CA.
- Mar. 16, 1997      Ethics in Animal Research. ARENA (Applied Research Ethics National Association) IACUC Conference, San Diego, CA.
- Dec. 9, 1997      Handling Allegations of Non-Compliance. PRIM&R IRB Conference, Boston, MA.
- Dec. 9, 1997      IRB Responsibilities for Conducting Reviews of Continuation Reports, Adverse Events, Amendments and Audits. PRIM&R IRB Conference, Boston, MA.
- Mar. 26, 1998      Ethics Applied to Animal Research. ARENA IACUC Conference, Boston, MA.



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- Mar. 27, 1998 Protocol Review by the IACUC. PRIM&R IACUC Conference, Boston, MA.
- Mar. 28, 1998 Continuing Review by the IACUC and Amendments. PRIM&R IACUC Conference, Boston, MA.
- July 30, 1998 Placebo Controlled Clinical Trials in Women. OPRR/FDA/UCLA Conference Women as Research Subjects, Los Angeles, CA.
- Nov. 9, 1998 IRB Review of Continuation Reports, Adverse Events and Amendments. PRIM&R IRB Conference, San Diego, CA.
- Nov. 9, 1998 IRB Investigations of Non-compliance and Reporting Requirements of OPRR and FDA. PRIM&R IRB Conference, San Diego, CA.
- Mar. 21, 1999 Ethical Issues for IACUCs, ARENA IACUC Conference, San Diego, CA.
- Mar. 22, 1999 Ethical Considerations and Scientific Merit, PRIM&R IACUC Conference, San Diego, CA.
- Mar. 23, 1999 Organizational Structure and Reporting Lines—The IACUC Chair, IACUC Administrator, Institutional Official and Investigator Interactions, PRIM&R IACUC Conference, San Diego, CA.
- Mar. 23, 1999 Endpoints, Classifications, and the Use of Animals in Education, PRIM&R IACUC Conference, San Diego, CA.
- Dec. 6, 1999 Enrolling Children and Adolescents in Research. PRIM&R IRB Conference, Boston, MA.
- Dec. 7, 1999 Compliance Oversight Activity: Problems Frequently Identified by OPRR. PRIM&R IRB Conference, Boston, MA.
- Dec. 7, 1999 Institutional Response to Allegations of Non-compliance PRIM&R IRB Conference, Boston, MA.
- Jan. 27-28, 2000 Investigator and IRB Training. University of New Mexico School of Medicine, Albuquerque, NM.
- Mar. 12, 2000 Ethics Applied to IACUC Review. ARENA Conference, Boston, MA.
- Mar. 13, 2000 Scientific Merit Review and the IACUC. PRIM&R IACUC Conference, Boston, MA.
- Mar. 14, 2000 Organization of Animal Care and Use Programs – the IACUC. PRIM&R IACUC Conference, Boston, MA.
- Oct. 29, 2000 Money and Clinical Trials, PRIM&R IRB Conference, San Diego, CA.
- Oct. 30, 2000 OHRP Compliance Oversight, PRIM&R IRB Conference, San Diego, CA.
- Dec. 4, 2000 IACUC Responsibility to Ensure Compliance, SCAW Conference, San Antonio, TX.
- Mar. 26, 2001 Protocol Review and Scientific Merit, PRIM&R IACUC Conference, San Diego, CA.
- Mar. 26, 2001 Understanding and Applying Basic Protocol Review Criteria. PRIM&R IACUC Conference, San Diego, CA.

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- Dec. 2, 2001 Handling Subject Complaints and Allegations of Non-Compliance. ARENA IRB Conference, Boston, MA.
- Dec. 2, 2001 Liability Issues for IRBs. ARENA IRB Conference, Boston, MA.
- Dec. 3, 2001 Relationship of the IRB to the IBC. PRIM&R IRB Conference, Boston, MA.
- Dec. 4, 2001 Ethics, Science and Politics of Stem Cell Research. PRIM&R IRB Conference, Boston, MA.
- Mar. 24, 2002 Non-compliance: What to Do When Things Go Wrong. PRIM&R/ARENA IACUC Conference, Boston, MA.
- Mar. 24, 2002 The Basics of Protocol Review. PRIM&R/ARENA IACUC Conference, Boston, MA.
- Nov. 17, 2002 Dealing with Subpart D: Interpretation of the Children's Regulations. ARENA IRB Conference, San Diego, CA
- Nov. 18, 2002 Reviewing Research with Prisoners: 45CFR46 Subpart C. PRIM&R IRB Conference, San Diego, CA.
- Nov. 18, 2002 Oversight of Gene Transfer Research: IBCs and IRBs. PRIM&R IRB Conference, San Diego, CA.
- Nov. 19, 2002 Common Deficiencies Cited by OHRP. PRIM&R IRB Conference, San Diego, CA.
- Nov. 19, 2002 Human Gene Transfer Research and Informed Consent: Appendix M. PRIM&R IRB Conference, San Diego, CA.
- Mar. 30, 2003 Scientific Justification? Scientific Merit? (Protocol Review Issues). PRIM&R/ARENA IACUC Conference, San Diego, CA.
- Mar. 31, 2003 Roles and Responsibilities of IACUC Members. PRIM&R/ARENA IACUC Conference, San Diego, CA.
- Nov. 14, 2003 ACRP Clinical Research Ethics Workshop. ACRP Global Headquarters, Alexandria, VA.
- Dec. 7, 2003 Research With Vulnerable Populations. PRIM&R/ARENA Annual Conference, Boston, MD.
- Aug. 4, 2004 IACUC Protocol Review. Eli Lilly, Indianapolis, IN.
- Oct. 29, 2004 OHRP and Investigators – Working Together to Minimize Risk. PRIM&R Annual Conference, San Diego, CA.
- Oct. 29, 2004 An Update From SACHRP. PRIM&R Annual Conference, San Diego, CA.
- Oct. 31, 2004 Tissue Banking. PRIM&R Annual Conference, San Diego, CA.
- Dec. 5, 2005 SACHRP Recommendations Involving Children. PRIM&R IRB Conference, Boston, MA.
- Dec. 5, 2005 Component Analysis in IRB Review of Pediatrics Research. PRIM&R IRB Conference, Boston, MA.

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- Dec. 6, 2005 IRB Review of Research Involving Prisoners. PRIM&R IRB Conference, Boston, MA.
- Mar. 7, 2006 IRB Mission Creep. University of Las Vegas Conference, Las Vegas, NV.
- Mar. 27, 2006 Ethics, Science and Compliance. PRIM&R IACUC Conference, Boston, MA.
- May 8, 2006 Clinical Trial Auditing. RX Clinical Trials Educational Program, Boston, MA.
- Nov. 16, 2006 Introduction to Component Analysis. PRIM&R Annual IRB Conference, Washington, DC.
- Nov. 16, 2006 Application of Component Analysis. PRIM&R Annual IRB Conference, Washington, DC.
- Nov. 17, 2006 Interpretation and Application of the Minimal Risk Threshold in Research Involving Children. PRIM&R Annual IRB Conference, Washington, DC.
- Mar. 27, 2007 Responding to Reports of Non-Compliance. PRIM&R IACUC Conference, San Diego, CA.
- Apr. 21, 2007 Case Based Ethics. 2007 ACRP Global Conference, Seattle, WA (Scheduled).

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**Invited Workshops/Breakout Sessions/Training\***

***Regional Level***

- Mar. 12, 1977 Improvement of Lecture Technique. University of South Dakota School of Medicine, Vermillion, SD.
- Apr. 5, 1978 Injuries Associated with Runners: Preventive Sports Medicine. University of Nebraska College of Medicine, Omaha, NE.
- Oct. 30, 1980 Comprehensive Cardiac Rehabilitation Workshop. Review of Anatomy and Cardiovascular Physiology, Effects of Chronic Exercise on the CV System. Nebraska Chapter of American Association of Physical Therapists, Omaha, NE.

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**National IRB Training Courses\***

\*IRB 101 is conducted by two co-faculty

- Jan. 18, 2000 IRB 101. Colorado Health Sciences Center, Denver, CO.
- Apr. 21, 2000 IRB 101. Marshfield Clinic, WI.
- June 23, 2000 IRB 101. Case Western University, Cleveland, OH.
- Sept. 8, 2000 IRB 101. Southern Nevada Medical Center, Las Vegas, NV.
- Apr. 9, 2001 IRB 101. Wilford Hall, Lackland AFB, San Antonio, TX.
- Apr. 16, 2001 IRB 101. Covenant Health Systems, Lubbock, TX.

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- Apr. 18, 2001 IRB Training. Creighton University, Boys Town National Research Hospital, Alegent Health Systems, Omaha, NE.
- May 17, 2002 IRB 101, Wausau Hospital, Wausau, WS.
- Sept. 27, 2002 IRB 101, Southwestern Vermont Health Care, Bennington, VT.
- Oct. 16-17, 2002 IRB 101, Texas A&M University, College Station, TX.
- Mar. 22, 2003 IRB 101, Maricopa Health Systems, Phoenix, AZ.
- Apr. 2, 2003 IRB 101, University of California at Irvine, Irvine, CA.
- Sept. 22, 2003 IRB 101, Friends Research Institute/UCSF, San Francisco, CF.
- Sept. 25-26, 2003 IRB 101, Carle Clinic, Urbana, IL.
- Oct. 30-31, 2003 IRB 101, Virginia Commonwealth University, Richmond, VA.
- Dec. 4, 2003 IRB 101 for Investigators, Washington, DC.
- May 3, 2004 IRB 101, Northwest Regional IRB.
- July 8, 2004 IRB 101, Rush University, Chicago, IL.
- Nov. 15, 2004 IRB 101, University of Alabama at Birmingham, Birmingham, AL.
- Mar. 17, 2005 IRB 101, Uniformed Services University of Health Sciences, Bethesda, MD.
- Oct. 17, 2005 IRB Advanced, FDA, Rockville, MD.
- Nov. 4, 2005 IRB Advanced, University of Alabama at Birmingham, Destin, FL.
- Jan. 24-25, 2006 IRB 101, University of Michigan, Ann Arbor, MI.
- Feb. 6, 2006 IRB 101, PRIM&R Regional, Atlanta, GA.
- Oct. 19, 2006 IRB 101, Florida State Dept. of Health, Tallahassee, FL.
- Mar. 22, 2007 IRB 101/250, Kaiser Permanenti, Rockville, MD.
- May 21, 2007 IRB 101, Florida State Department of Health, Tampa FL.

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**National IACUC Training Courses\***

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\*IACUC 101 is a team-taught course

- Mar. 20, 1999 IACUC 101 (PRIM&R/ARENA Conference) San Diego, CA.

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Nov. 7, 1999 IACUC 101 AAALAS Annual Meeting, Indianapolis, IN.

Mar. 11, 2000 IACUC 101 (PRIM&R/ARENA Conference) Boston, MA.

Mar. 21, 2001 IACUC 101 for USDA Inspectors, Bowie, MD.

Mar. 24, 2001 IACUC 101 (PRIM&R/ARENA Conference) San Diego, CA.

July 25, 2001 IACUC Training, Creighton University, Boys Town National Research Hospital, Omaha VA Medical Center, Omaha, NE.

Sept. 20, 2001 IACUC 101, Pfizer Pharmaceutical Company, Groton, CT.

Oct. 16, 2001 IACUC 101, Yale University, New Haven, CT.

Jan. 23, 2002 IACUC 101, University of Hawaii, Honolulu, HI.

Mar. 23, 2002 IACUC 101 (PRIM&R/ARENA Conference), Boston, MA.

May 9, 2002 IACUC 101, Stanford University, San Francisco, CA.

June 2, 2002 SCAW IACUC Advanced, Philadelphia, PA.

June 20, 2002 IACUC 101, Charles River Laboratories, Danvers, MA.

Sept. 25, 2002 IACUC 101, Loyola University, Chicago, IL.

Oct. 11, 2002 SCAW IACUC Advanced, SCRIPPS Research Institute, LaJolla, CA.

Nov. 22, 2002 IACUC 101, Emory University, Atlanta, GA.

Jan. 10, 2003 IACUC 101, Boehringer Ingelheim Pharmaceuticals, Ridgefield, CT.

Mar. 7, 2003 SCAW IACUC Advanced, University of South Florida, Clearwater, FL.

Mar. 29, 2003 IACUC 101, San Diego, CA.

June 5-6, 2003 IACUC 101.5, University of Maryland, Baltimore, MD.

Aug. 6, 2003 IACUC 101, Lawte Conference, Tucson, AZ.

Sept. 4, 2003 IACUC 101, Cornell University, Ithaca, NY.

Sept. 30, 2003 IACUC Training, Lackland AFB, San Antonio, TX.

Oct. 10, 2003 IACUC 101, University of Oregon, Portland, OR.

Oct. 28, 2003 SCAW IACUC Advanced, NIH, Bethesda, MD.

Nov. 4, 2003 IACUC 101, Vanderbilt University, Nashville, TN.

**Ernest D. Prentice, Ph.D.**

**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

Nov. 21, 2003 IACUC 101, Iowa State University, Ames, IA.

Feb. 17, 2004 IACUC 101, University of Texas Southwestern Medical Center, Dallas, TX.

Mar. 5, 2004 SCAW IACUC Advanced, Johns Hopkins, Baltimore, MD.

Mar. 27, 2004 IACUC 101, (PRIM&R/ARENA Conference) Boston, MA.

May 17, 2004 IACUC 101, University of Alaska, Fairbanks, AK.

June 28, 2004 IACUC 101, University of Michigan, MISMR and University of Michigan, Detroit, MI.

July 13-24, 2004 IACUC 101/201, NCABR and University of North Carolina, Research Triangle Park, NC.

Sept. 14, 2004 IACUC 101, CBRA and University of California at Irvine, Irvine, CA.

Sept. 17, 2004 SCAW IACUC Advanced, University of Colorado Health Science Center, Denver, CO.

Nov. 2, 2004 IACUC 101, Penn State University, State College, PA.

Mar. 21, 2005 SCAW IACUC Advanced, New Orleans, LA.

May 11-12, 2005 IACUC 101/201, Florida Atlantic University, Delray Beach, FL.

Sept. 8, 2005 IACUC Training, University of Connecticut Health Center, Farmington, CT.

Sept. 21-22, 2005 IACUC 101/201, University of South Dakota, Sioux Falls, SD.

Oct. 6, 2005 IACUC 101, University of Rochester, Rochester, NY.

Oct. 14, 2005 SCAW IACUC Advanced, Northwestern University, Chicago, IL.

Nov. 5, 2005 IACUC 101, OLAW/USDA, St. Louis, MO.

Mar. 26, 2006 IACUC 101. (PRIM&R/ARENA Conference), Boston, MA.

Apr. 19-20, 2006 IACUC 101/201. Virginia Commonwealth University, Richmond, VA.

May 8, 2006 IACUC 101. University of Texas, Austin, TX.

July 13, 2006 IACUC 101. University of New England, Biddeford, ME.

Sept. 12, 2006 SCAW IACUC Advanced. Cornell University, Ithaca, NY.

Sept. 26, 2006 IACUC 101. California Association for Biomedical Research. San Francisco, CA.

Oct. 11, 2006 IACUC Training. Vanderbilt University, Nashville, TN.

Oct. 17, 2006 IACUC Training. Raleigh-Durham VA Medical Center, Durham, NC.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- Nov. 8-9, 2006 IACUC 101/201. Tripler Army Medical Center, Honolulu, HI.
- Feb. 21, 2007 IACUC 101. Oklahoma State University, Stillwater, OK.
- Mar. 9, 2007 SCAW IACUC Advanced. University of Tennessee, Memphis, TN.
- Mar. 25, 2007 IACUC 101. (PRIM&R Conference). San Diego, CA.
- Apr. 13, 2007 IACUC Training. University of Indiana, Indianapolis, IN (Scheduled).
- Apr. 30, 2007 SCAW IACUC Advanced. Boston, MA (Scheduled).
- May 3, 2007 IACUC 101. Morehead University, Atlanta, GA (Scheduled).
- June 7, 2007 IACUC Training. Medical College of Wisconsin, Milwaukee, WI (Scheduled).
- Sept. 5, 2007 SCAW IACUC Advanced. University of Alaska, Anchorage, AK (Scheduled).

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**External Consultancies, Special Appointments, Review Panels**

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- 1977 Consultant, University of South Dakota School of Medicine, Vermillion, SD, Improvement of Faculty Teaching Skills.
- 1979 Consultant, University of Lagos College of Medicine, Lagos, Nigeria, 1979. Instructional Technology-Evaluation of Faculty.
- 1980 Consultant, National Library of Medicine (NMAC), Washington D.C., Development of a Lecture Skills Workshop.
- 1981 External Examiner, University of Lagos College of Medicine, Lagos, Nigeria
- 1981 Chair, Planning Committee, American Association of Anatomists Meeting, Omaha, NE.
- 1983 Chair, Planning Committee, 1983 Midwest Anatomists Meeting, Omaha, NE.
- 1985 IRB Consultant, Chadron State College, Chadron, NE.
- 1985-88 Consultant, Alpha Health Clubs, Omaha, NE. Sports Medicine.
- 1989 IRB Consultant, Good Samaritan Hospital, Kearney, NE.
- 1989 Chair, Planning Committee, NIH/FDA Conference on Protection of Vulnerable Research Subjects, Omaha, NE.
- 1989-90 AAMC Liaison Committee on Medical Education (LCME) Faculty Fellow. [Served as a member of the LCME accreditation team that site visited the University of Minnesota College of Medicine on May 5-10, 1990.]
- 1991-92 IRB Consultant, Kearney State College and University of Nebraska at Kearney (UNK), Kearney, NE.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- 1994 IRB Consultant, Clinical Trials Cooperative Group Chairs on Informing Subjects About Side Effects and Scientific Misconduct. National Cancer Institute, Rockville, MD. (Oct. 7)
- 1994-96 Member, SCAW Planning Committee on Evaluation of IACUCs, Greenbelt, MD.
- 1995 IRB Consultant, Orange County Childrens Hospital (CHOC). Orange County, CA. (Aug. 14-18)
- 1996 Co-Chair, ARENA IRB Conference. San Diego, CA
- 1996 Consultant to Boys Town National Research Hospital for OPRR/USDA compliance case (Sept. 8-10).
- 1997 Invited Participant in the NAPBC/NCI/NIH Tissue Banking Conference. Bethesda, MD (June 2)
- 1997 Member, PRIM&R/ARENA Tissue Banking Working Group.
- 1997-98 IRB Consultant, AMC Cancer Center. Denver, CO.
- 1997 Consultant, NIH/OPRR. Preventing Violence in Children of Mentally Ill Substance Abusing Inmate Parents. CDC Protocol #1650. Review Panel Under 45 CFR 46.306(a)(2)(D). Rockville, MD (Aug. 21)
- 1997 Panel Member, FDA Waiver of Informed Consent in Emergency Research. Bethesda, MD. (Sept. 29-30)
- 1997 Panel Member, NIH Inter-Institute Conference on Research Involving Individuals with Questionable Capacity to Consent. Rockville, MD. (Dec. 13)
- 1998 Panel Member, PHS Developing U.S. Public Health Policy in Xenotransplantation. Bethesda, MD. (Jan. 21-22)
- 1998 IRB Consultant to the Ministry of Health, Republic of China, Taipei, Taiwan. (May 8-9)
- 1998 Consultant, FDA Office of Health Affairs (OHA) Task Force on FDA-IRB Re-engineering. Rockville, MD (August 11)
- 1998 Member, AALAS Task Force on Development of a National IACUC Training Program.
- 1998-99 Member, PRIM&R/ARENA Task Force on Central IRBs. Washington D.C.
- 1999 AALAS Representative, Learning Consortium [TLC] IACUC 101 Organization Committee. Washington D.C.
- 1994-01 Compliance Consultant, NIH Office for Protection from Research Risks (OPRR); HHS OHRP Compliance Cases/Compliance Oversight Site Visits
- Mar. 8-10, 1994 Memorial Sloan Kettering Cancer Center, New York NY
  - Aug. 31-Sept. 2, 1994 Medical University of South Carolina
  - Oct. 22-24, 1996 University of Rochester, Rochester, NY
  - Nov. 15, 1996 State University of New York
  - July 28-29, 1998 University of California at Irvine, Irvine, CA



**Ernest D. Prentice, Ph.D.**

**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- Mar. 1-4, 1999 Mount Sinai Medical Center, New York Psychiatric Institute & City University of New York, New York, NY (Fenfluramine Challenge Study in Children)
- Aug. 24-27, 1999 University of Illinois at Chicago, Chicago, IL
- Nov. 2-5, 1999 St. Jude Children's Research Hospital, Memphis, TN
- Aug. 8-11, 2000 University of Wisconsin, Madison
- Sept. 11-14, 2000 University of Texas Medical Branch, Galveston, TX
- Mar. 12-16, 2001 St. Jude Children's Research Hospital, Memphis, TN
- Nov. 14-15, 2001 Brookhaven National Laboratories, Long Island, NY

1985-Present Legal Consultant and Expert Witness, Litigation Involving Clinical Research (17 cases in 10 states).

1998-1999 IRB Consultant for the International Verapamil-Trandolapril Study. University of Florida Research Foundation, Inc.

1999 Member, PRIM&R/ARENA/AAALAC initial planning committee on Accreditation of IRBs and IRB Administrators. Rockville, MD.

2000 IRB Consultant, University of New Mexico Health Sciences Center (March 23-24)

2000 Invited Participant, Duke University CRI IRB Think Tank on DSMBs and IRBs (May 11-12)

2000 IRB Consultant, Harbor/UCLA Medical Center, Torrance, CA (June 1-2).

2000 IRB Consultant, Torrance Memorial Medical Center, Torrance, CA (August 24-25).

2000 Reviewer, National Institutes of Mental Health Special Emphasis Review Panel (November 20-21) Washington, D.C.

2001 Chair and Member, OHRP/HHS Children's 407 Research Panel, Bethesda, MD

- Feb. 2-4, 2001
- Aug. 28, 2001
- Sept. 27, 2001

2001 IRB Consultant, University of Illinois at Peoria (May 10-11)

2001 IRB Consultant, Fred Hutchinson Cancer Research Center, Seattle, WA (May 29-June 1)

2001 IRB Consultant, Midwest Bioethics Center, Kansas City, MO (July 10-11)

2001 Office of Biotechnology Affairs (OBA) Roundtable Discussion, Bethesda, MD (December 7-8)

2001-Present Member, Editorial Board, IRB Ethics and Human Research, Hastings Center, New York, NY

2002-2007 Member, AAHRPP Council on Accreditation, Washington D.C.

2002 Compliance Consultant, ORCA Site Visit, Washington D.C., VA Medical Center (February 6-8)

2002 IRB Consultant, National Jewish Medical and Research Center, Denver, CO (February 26-28)

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- 2002-Present Member, Advisory Board, BNA Medical Research Law and Policy Report
- 2002 Compliance Consultant, EPA Site Visit (NHEERL), Research Triangle Park, NC (Aug. 19-21)
- 2002 IRB Consultant, Fred Hutchinson Cancer Research Center, Seattle, WA (Oct. 24-28)
- 2003-2007 Chair, HHS Secretary's Advisory Committee on Human Research Protection (SACHRP)
- 2003 Team Leader, AAHRPP Site Visit, University of Iowa (Jan. 20-23)
- 2003 IRB Consultant, NIH Office of Biotechnology Assessment (OBA) Gemcris Project, Rockville, MD (Mar. 18)
- 2003 IRB Consultant, University of Pennsylvania, Philadelphia, PA (May 21-23)
- 2003 IRB Consultant, Henry Ford Hospital, Detroit, MI (Sept. 9-12)
- 2004 IRB Consultant, University of Arizona, Tuscon, AZ (Jan. 15-16)
- 2004 IRB Consultant/Trainer, Singapore National Health Group, Singapore (Jan. 26-29)
- 2004 Team Leader, AAHRPP Site Visit, Copernicus Review, Inc., Research Triangle Park, NC (Apr. 14-16)
- 2004 IACUC Consultant, UCLA, Los Angeles, CA (Sept. 15)
- 2004 IRB Consultant/Trainer, Singapore National Health Group, Singapore (Oct. 11-13)
- 2004 IRB Consultant, Palm Beach Medical Center, Palm Beach, FL (Nov. 19)
- 2005 IACUC Consultant, Syracuse University, Syracuse, NY (Jan. 24-26)
- 2005 IRB Consultant, Syracuse University, Syracuse, NY (Mar. 28-31)
- 2005 Compliance Consultant, EPA, Research Triangle Park, NC (Aug. 31)
- 2005 Team Leader, AAHRPP Site Visit, UCSF, San Francisco, CA (June 6-10)
- 2006 IRB Consultant/Trainer, Singapore National Health Group, Singapore (Feb. 16-19)
- 2006 Team Leader, AAHRPP Site Visit, University of Missouri, Columbia, MD (April 2-5)
- 2006 Team Leader, AAHRPP Site Visit, Long Beach California Medical Center, Long Beach, CA (Oct. 30-31)
- 2006-Present Member of PRIM&R Public Policy Committee
- 2006 Chair, CITI Executive Advisory Committee
- 2007 Member of Pharmaceutical Safety Institute Advisory Committee

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- 2007 NIH Center for Scientific Review Special Emphasis Panel – Research on Ethical Issues in Human Studies (Mar. 6)
- 2007 ACRP Education Committee

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## **Teaching and Service Awards**

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- 1985 American Medical Student Golden Apple Teaching Award  
1986 Physical Therapy Excellence in Teaching Award  
1995 Physical Therapy Excellence in Teaching Award  
1995 ARENA Service Award  
2003 SCAW Harry C. Roswell Award for promoting lab animal welfare  
2005 ARENA Distinguished Service Award  
2006 OHRP Award for Outstanding Achievement in Human Subject Protection

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## **Teaching Experience**

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### *University of South Florida*

- 1964-67 University of South Florida - Undergraduate Teaching Assistant in Aquatics and Water Safety

### *Secondary School*

- 1967-69 Plant City Senior High School, Plant City, Florida - Physical Science Teacher

### *University of Iowa*

- 1969-71 University of Iowa - Graduate Teaching Assistant in Physical Education and Exercise Physiology

### *University of Nebraska Medical Center*

- 1971-72 Laboratory Instructor in Gross Anatomy, Microscopic Anatomy, Neuroanatomy, and Advanced Head and Neck Anatomy for Residents
- 1973-74 Instructor in Medical Gross Anatomy and Neuroanatomy
- 1974-01 Course Director, Teaching Workshop for Medical Educators
- 1975-77 Course Director, Physician Assistant Anatomy, Instructor in Medical Gross Anatomy
- 1977-81 Course Director, Clinical Anatomy for Undergraduates, Instructor in Medical Gross Anatomy
- 1981-83 Course Director, Combined Nursing/ Pharmacy Anatomy Program
- 1984-85 Assistant Course Director, Medical Gross Anatomy
- 1986-91 Instructor in Medical Gross Anatomy

**Ernest D. Prentice, Ph.D.**

**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- 1986-Present Lecturer on Human and Animal Research Ethics in Four Separate Research Methodology Courses for Residents, Graduate Students, Physician Assistant Students, Physical Therapy Students and Nuclear Medicine Students
- 1989-96 Faculty Facilitator for Case Study Problem Solving
- 1991 Presenter in a Seminar Series: Ethical Issues that Impact on Biomedical Research
- 1992-93 Course Director, Limb Anatomy for Physical Therapy Students
- 1994-2000 Course Co-Director, Ethics in Biomedical Research
- 2000-Present Faculty Facilitator for Case Studies in RCR

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### **University Committee Service**

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- 1976-78 Admission Committee, Physician Assistant Program
- 1976-78 Scholastic Evaluation Committee, Physician Assistant Program
- 1979 Search Committee, Director of School of Physical Therapy
- 1979-84 Nuclear Medicine Committee
- 1985 Chancellor's Task Force on Evaluation of Teaching
- 1985-86 North Central Accreditation Committee
- 1980-90 Department of Anatomy Executive Graduate Committee
- 1986-98 Vice Chair, Institutional Animal Care and Use Committee
- 1991-92 Chancellor's Task Force on Cancer
- 1991-92 Chair, Chancellor's Task Force on the Animal Resources Facility
- 1991-92 Chair, Search Committee for Director of the Animal Resources Facility
- 1989-90 LCME Self Study Subcommittee on Research
- 1994-95 Department of Cell Biology and Anatomy Promotions Committee Chair, 1995
- 1995-Present Legislation Analysis Committee
- 1995-97 Image Advisory Committee
- 1995-96 Chair, NCA Task Force Committee
- 1996 UNMC Finance Restructuring Committee
- 1981-Present Co-Chair, Institutional Review Board
- 1998-01 Institutional Official, Institutional Animal Care and Use Program
- 1999 UNMC New Construction Committee
- 2001-05 Animal Facility Oversight Committee
- 2001 HIPAA Executive Committee
- 2002 Conflict of Interest Policy Committee
- 2005-Present Conflict of Interest Committee

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### **Graduate Student Supervision**

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- Appointed Fellow of the Graduate College in 1979. Served as a member of the Executive Graduate Committee in the Department of Anatomy from 1980-1990.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- Served on the following MS Supervisory Committees. The year indicates when master's degree was awarded.
 

1. Gene Cullan, 1978	11. Mark Horacek, 1983
2. Leigh Hoppe, 1980	12. Greg Perry, 1983
3. Wayne Stuber, 1980	13. Patrick Keelan, 1984
4. Phil Tarburton, 1981	14. Robert Plambeck, 1984
5. Don Russell, 1981	15. Godwin C. Udejaja, 1983
6. Dave Staab, 1981	16. Daniel Lydiatt, 1983
7. Bunmi Dada, 1982	17. Nancy Mathews, 1984
8. Al Grovas, 1982	18. Michael Essex, 1984
9. Mohammad Al-Turk, 1982	19. Kyle Meyer, 1985
10. Daniel Olson, 1983	20. Rocco Rotello, 1985
21. Erin Masada, 1986	29. Jason Bepalec, 1990
22. Robert Sandstrom, 1986	30. Susan Schwerdtfeger, 1990
23. Lonn Hutchinson, 1986	31. Larry Crouch, 1990
24. Shailendra Saxena, 1987	32. Xiao-Dong Chen, 1990
25. Annette Klumper, 1987	33. Anne Sojke, 1993
26. Wang Zin, 1987	34. Denise Dunning, 1995
27. Gregory Hirz, 1988	35. Tom Nesser, 1998
28. Lisa Peterson, 1990	
  
- Served on the following Ph.D. Supervisory Committees. The year indicates when doctorate degree was awarded.
 

1. Gene Cullan, 1980	5. Robert Sandstrom, 1989
2. Mark Horacek, 1986	6. Wang Zin, 1991
3. Ardith Ryberg, 1988	7. Suzan Schuerman, 1998
4. Wayne Stuber, 1989	8. Gib Willett, 2006

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**Community Speaking Engagements and Interviews: Radio, Television, Newspaper**

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|------------|--|
| Oct. 1977  | Conversations with Joni Baillon: Exercise and Fitness. KMTV Channel 3, Omaha, Nebraska.  |
| Apr. 1978  | Fitness for the Busy Executive: Why and How. Life Underwriters Association, Omaha, NE.   |
| Aug. 1979  | Run for Your Life. Plenary Session - HeSCA Conference, Kansas City, MO.  |
| Sept. 1979 | The Physiology of Running. Bergan Mercy Hospital, Omaha, NE.   |
| Oct. 1979  | The Effects of Jogging on Your Health. Explorers Post #54, Omaha, NE.  |
| Oct. 1979  | Exercise Life Style. Octoberfest, University of Nebraska Medical Center, Omaha, NE.  |
| Feb. 1980  | Call the Doctor: Exercising and Health. Channel 26, Lincoln, NE.   |
| June 1980  | The Physiological Effects of Aerobic Dance. Fitness Plus, Omaha, NE.   |
| Oct. 1980  | Celebration of 100 Years of Medical Education in Nebraska: Past, Present and Future. Grand Island Medical Society and the Chamber of Commerce, Grand Island, NE. |
| Oct. 1981  | Health Beat. Aired on 40 radio stations.   |

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- Nov. 2, 1981 Sports Medicine. Tom Johnson Show, KFAB, Omaha, NE.
- Nov. 6, 1981 What's NU, Teaching Technology. Nebraska Educational Television, Channel 12, Lincoln, NE.
- Nov. 8, 1981 The Clinic, Exercise and You. Aired on 23 radio stations.
- May 10, 1982 Stress Management and Aerobic Exercise. Jewish Community Center, Omaha, NE.
- June 11, 1982 Running and Health. Forum KVNO, Station KVNO, Omaha, NE.
- June 28, 1982 Exercise and Health. Good Day, KMTV Channel 3, Omaha, NE.
- July 4, 1982 Exercise and Health. Good Day, KMTV Channel 3, Omaha, NE.
- July 27, 1982 Selection of Running Shoes and Stretching. KETV Good Morning, Channel 7, Omaha, NE.
- Aug. 14, 1982 Focus, KNEN, Norfolk, NE.
- Aug. 22, 1982 Open Mike. Station KYNN, Omaha, NE.
- Oct. 3, 1982 Day in the Life of a Medical Student (Documentary). Station KHGI, Omaha, NE.
- Oct. 28, 1982 What's NU, The University of Nebraska IRB. Nebraska Educational Television, Channel 12, Lincoln, NE.
- Jan. 9, 1983 Protection of Human Research Subjects. The Clinic, aired on 23 radio stations.
- Jan. 10, 1983 Protection of Human Research Subjects. Cox Cable TV Network, Omaha, NE.
- Apr. 12, 1983 KMTV Health Fair. KMTV Channel 3, Omaha, NE.
- July 20, 1983 Prevention of Heat Illness. KMTV Channel 3, Omaha, NE.
- Feb. 23, 1984 Interview on Health and Fitness. KGOR, Omaha, NE.
- Feb. 24, 1984 The Clinic, Health and Fitness. Aired on 23 radio stations.
- July 1984 Prevention of Injuries in Runners. The Marathon Clinic, Omaha, NE.
- June 1985 Medical Research. The Rotary Club, Omaha, NE.
- Nov. 19-21, 1985 Interview on Fitness and the Aged. Channel 6, Omaha, NE.
- Oct. 18, 1986 Exercise Life Style. U.S. Naval Reserve.
- Oct. 31, 1987 Cross-Training. Omaha Riverfront Health and Fitness Clinic, Omaha, NE.
- 1987-88 Health and Fitness Tips. A series of health and fitness tips aired on two radio stations during December 1987 and January 1988.

**Ernest D. Prentice, Ph.D.**

**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- Nov. 1, 1988      Research at UNMC. Community Health Line, Omaha, NE.
- Feb. 21, 1989      Ethics of Human Experimentation. Rotary Club, Omaha, NE.
- Jan. 10, 1990      Interview on Fetal Tissue Transplantation. KKAR Radio Station, Omaha, NE.
- July 30, 1990      Interview on Animal Research. Channel 7, Omaha, NE.
- Jan. 8, 1991      Interview on Ethical Issues Surrounding Fetal Tissue Research. Channel 7, Omaha, NE.
- Nov. 6, 1991      Interview on Benefits of Animal Research. Channel 6, Omaha, NE.
- Apr. 13, 1992      Interview on Body Building for Women. World Herald, Omaha, NE.
- July 1, 1992      Interview on Growth Hormone Trials. Nature, Vol 358.
- Aug. 7, 1992      Interview on Growth Hormone Trials. Science, Vol 257.
- Sept. 22, 1992      Interview on Women and Clinical Research Participation. Channel 7, Omaha, NE.
- Oct. 10, 1992      Interview on UNMC's Animal Research. Life Quest. Channel 3, Omaha, NE.
- Dec. 31, 1992      Interview on Cold Weather Running. Channel 7, Omaha, NE.
- Jan. 4, 1993      Interview on Cold Weather Running. World Herald, Omaha, NE.
- Apr. 7, 1993      Interview on Animal Research. Channel 17, Omaha, NE.
- Jan 16, 1994      Interview on Protecting Human Subjects. Los Angeles Times, Los Angeles, CA.
- Feb. 10, 1994      Interview on IRBs and Contemporary Standards of Human Subjects Protection. World Herald, Omaha, NE.
- June 20, 1994      Interview on Enrollment of Women in Clinical Trials. Channel 7, Omaha, NE.
- Dec. 5, 1994      Interview on Porcine Xenoperfusion. Channel 3, Omaha, NE.
- June 22, 1998      Interview on Xenotransplantation. Channel 7, Omaha, NE.
- Oct. 29, 1998      Panelist on "A Healthy Debate on the Use of Animals in Medical Research". Cox Channel 2, Omaha, NE.
- Oct. 13, 1999      UNMC Mini Medical School. Panel on Ethical, Legal, Medical and Social Issues on Genetic Testing, Omaha, NE.
- May 3, 2000      Ethics, Science and Politics of Fetal Tissue Research. Annual Planned Parenthood Meeting, Omaha, NE.
- July 20, 2001      Guest Appearance on the Jim Lehrer Show to discuss the OHRP Shutdown of Research at Johns Hopkins.

**Ernest D. Prentice, Ph.D.**  
**Associate Vice Chancellor for Academic Affairs and Regulatory Compliance**

- July 27, 2001      Interview on the Johns Hopkins Research Shutdown, New York Times.
- 2003 – 2007      Multiple interviews with journals and newspapers on the Activities of SACHRP.



**AFFIDAVIT OF SERVICE BY MAIL**

**STATE OF MINNESOTA :**  
**: SS.**  
**COUNTY OF RAMSEY :**

**VIRGINIA A. PFAFF**, being first duly sworn, deposes and says that she is a secretary in the office of Geraghty, O'Loughlin & Kenney, P.A., attorneys-at-law, Alliance Bank Center, Ste. 1100, 55 East Fifth Street, Saint Paul, MN 55101; that on November 12, 2007 she served the attached **SUMMARY OF EXPECTED TESTIMONY OF ERNEST PRENTICE, PH.D.** upon:

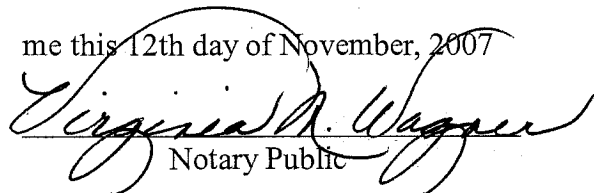
Gale D. Pearson, Esq.  
PEARSON, RANDALL & SCHUMACHER  
Fifth Street Towers  
100 South Fifth Street, #1025  
Minneapolis, MN 55402

**(ATTORNEYS FOR PLAINTIFF)**

by fax by placing a copy thereof in an envelope properly addressed to her at her business address, which address is the last known address of said attorney known to her, and the envelope with postage prepaid was deposited by her in the United States mail at Saint Paul, Minnesota for delivery by the United States Post Office Department, as directed by said envelope.

SUBSCRIBED and SWORN to before

me this 12th day of November, 2007

  
Notary Public

  
VIRGINIA A. PFAFF

